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Receiving: Joseph Evangelio

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Company Information

SEC Registration No.: CS200417430

Company Name: SUN LIFE PROSPERITY GS FUND, INC.

Industry Classification: J66960 Company Type: Stock Corporation

Document Information

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FOR THE YEAR 2023

STOCK CORPORATION

GENERAL INSTRUCTIONS:

5

- 1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. DO NOT LEAVE ANY ITEM BLANK. WRITE "IN.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING.
- IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
- 3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE CORPORATION.
- 4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURED OR BECAME EFFECTIVE.
- 5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE
- 6. ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.
- 7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

*********		=== PLEASE PRINT LEGIBLY			
CORPORATE NAME:				DATE REGISTERED:	
DUCINECS (TDADE NAME.	11/3/2004				
BUSINESS/TRADE NAME:	FISCAL YEAR END:				
SEC REGISTRATION NUMBER:					
	CS200	417430		December 31	
DATE OF ANNUAL MEETING PER BY-LAW	CORPORATE TAX IDENTIFICATION NUMBER (TIN)				
	EVERY FOURTH	MONDAY OF JUNE			
ACTUAL DATE OF ANNUAL MEETING:				WEBSITE/URL ADDRESS:	
	11 Jul	y 2023		www.sunlife.com.ph	
COMPLETE PRINCIPAL OFFICE ADDRESS				E-MAIL ADDRESS:	
SUN LIFE CENTRE, 5TH AVEN	UE CORNER RIZAL D	RIVE, BONIFACIO GLOBA	CITY, 1634 TAGUIG CITY	AnnaKatrina.Kabigting- Ibero@sunlife.com	
COMPLETE BUSINESS ADDRESS:				FAX NUMBER:	
SUN LIFE CENTRE, 5TH AVEN	UE CORNER RIZAL D	RIVE, BONIFACIO GLOBA	L CITY, 1634 TAGUIG CITY	(632) 8849 9727	
OFFICIAL E-MAIL ADDRESS	ALTERNATE	E-MAIL ADDRESS	OFFICIAL MOBILE NUMBER	R ALTERNATE MOBILE NUMBER	
sunlife_sec_communications@sunlife.com	sunlife_sec_commu	unications2@sunlife.com			
NAME OF EXTERNAL AUDITOR & ITS SIG	NING PARTNER:		SEC ACCREDITATION NUMBER (if appli	cable): TELEPHONE NUMBER(S):	
NAVARRO AMPER & CO. / DELOITTE TOU	CHE TOHMATSU - JO	DEFFREY MARK FERRER		(632) 8555 8888	
PRIMARY PURPOSE/ACTIVITY/INDUSTE	Y PRESENTLY ENG	AGED IN:	INDUSTRY CLASSIFICATION:	GEOGRAPHICAL CODE:	
INVESTME	NT COMPANY		N.A.	N.A.	
=======================================	======= INT	ERCOMPANY AFFILIATIO	NS =========		
PARENT COMPANY		SEC REGISTRATION	N NO.	ADDRESS	
N.A	N.A				
SUBSIDIARY/AFFILIATE SEC REGISTRATION NO.				ADDRESS	
N.A		N,A		N.A	
	NOTE	: USE ADDITIONAL SHEET IF	NECESSARY		

AMENDED GENERAL INFORMA	ATION SHEET						
STOCK CORPORATION							
PLEASE PRINT LEG	Minager 97, III. 24						
	SPERITY GS FUND, INC.						
A. Is the Corporation a covered person under the Anti Mone (AMLA), as amended? (Rep. Acts. 9160/9164/10167/103							
(AMLA), as amended? (Rep. Acts. 9160/9164/10167/103 Please check the appropriate box:	,						
1.							
a. Banks							
b. Offshore Banking Units	Jewelry dealers in precious metals, who, as a						
c. Quasi-Banks	business, trade in precious metals						
d. Trust Entities							
e. Non-Stock Savings and Loan Associations							
f. Pawnshops							
g. Foreign Exchage Dealers	5 Jewelry dealers in precious stones, who, as a						
h. Money Changers	business, trade in precious stone						
i. Remittance Agents							
j. Electronic Money Issuers							
k. Financial Institutions which Under Special Laws are subject to	E 90 B 8 B						
Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation,	Company service providers which, as a business,						
including their subsidiaries and affiliates.	6. provide any of the following services to third						
2.	parties:						
a. Insurance Companies	a. acting as a formation agent of juridical persons						
b. Insurance Agents c. Insurance Brokers	a. acting as a formation agent of juridical persons b. acting as (or arranging for another person to act as)						
d. Professional Reinsurers	a director or corporate secretary of a company, a						
e. Reinsurance Brokers	partner of a partnership, or a similar position in						
f. Holding Companies	relation to other juridical persons						
g. Holding Company Systems							
h. Pre-need Companies	c. providing a registered office, business address or						
i. Mutual Benefit Association	accommodation, correspondence or administrative						
j. All Other Persons and entities supervised and/or regulated by the	address for a company, a partnership or any other						
Insurance Commission (IC)	legal person or arrangement						
3.							
a. Securities Dealers	 d. acting as (or arranging for another person to act as) a nominee shareholder for another person 						
b. Securities Brokers							
c. Securities Salesman	7. Persons who provide any of the following services:						
d. Investment Houses	a. managing of client money, securities or other assets						
e. Investment Agents and Consultants	2 200 2						
f. Trading Advisors	b. management of bank, savings or securities accounts						
g. Other entities managing Securities or rendering similar services							
h. Mutual Funds or Open-end Investment Companies	c. organization of contributions for the creation,						
i. Close-end Investment Companies	operation or management of companies						
j. Common Trust Funds or Issuers and other similar entities	d. creation, operation or management of juridical						
k. Transfer Companies and other similar entities 1. Other entities administering or otherwise dealing in currency	persons or arrangements, and buying and selling						
 Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on 	business entities						
m. Entities administering of otherwise dealing in valuable objects	8. None of the above						
n. Entities administering of otherwise dealing in valuable objects n. Entities administering or otherwise dealing in cash Substitutes and							
other similar monetary instruments or property supervised	nature of						
and/or regulated by the Securities and Exchange Commission	business:						
(SEC)							
B. Has the Corporation complied with the requirements on Custor	mer Due Diligence						
(CDD) or Know Your Customer (KYC), record-keeping, and sub-	mission of reports G Yes C No						
under the AMLA, as amended, since the last filing of its GIS?							

STOCK CORPORATION

CORPORATE NAME: SUN LIFE PROSPERITY GS FUND, INC.							
			CAPITAL	STRUCTURE			
AUTHORIZED CAI	PITAL STOCK						
		TYPE OF SHARES *	NUMBER OF SHARES	PAR/STAT	ED VALUE	AMOUNT (PhP) (No. of shares X Par/Sta	ted Value)
		Common	1,000,000,000	0.01		10,000,000.00	
		TOTAL	1,000,000,000		TOTAL P	10,000,000.00	
SUBSCRIBED CAP	ITAL						
FILIPINO	NO. OF STOCK- HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	921	Common	78,942,544	78,942,544	0.01	789,425.44	98.49%
		TOTAL	78,942,544	TOTAL	TOTAL P	789,425.44	
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK- HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
Chinese German Indian Taiwanese Japanese British	3 1 1 2 2 2	Common Common Common Common Common Common	810,840 297,582 47,157 34,393 12,406 6,345	810,840 297,582 47,157 34,393 12,406 6,345	0.01 0.01 0.01	8,108.40 2,975.82 471.57 343.93 124.06 63.45	1.01% 0.37% 0.06% 0.04% 0.02% 0.01%
Percentage of For	reign Equity :	TOTAL	1,208,723	TOTAL	TOTAL P	12,087.23	
				TOTAL	SUBSCRIBED P	801,512.67	
PAID-UP CAPIT	AL			·			
FILIPINO	NO. OF STOCK- HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STAT	STATED VALUE AMOUNT (PhP)		% OF OWNERSHIP
	921	Common	78,942,544		0.01	789,425.44	98.49%
						'	
		TOTAL	78,942,544		TOTAL P	789,425.44	
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK- HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STAT	TED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
Chinese	3	Common	810,840		0.01	8,108.40	1.01%
German	1	Common	297,582		0.01	2,975.82 471.57	0.37% 0.06%
Indian Taiwanese	2	Common Common	47,157 34,393	†	0.01	343.93	0.04%
Japanese	2	Common	12,406	[. 	0.01	124.06	
British	2	Common	6,345		0.01	63.45	0.01%
100.00 %	l	TOTAL	1,208,723		TOTAL P	12,087.23	
				TOTAL PAID-U	JP P	801,512.67	

NOTE: USE ADDITIONAL SHEET IF NECESSARY

^{*} Common, Preferred or other classification

^{**} Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

STOCK CORPORATION

PLEASE PRINT LEGIBLY =============== CORPORATE NAME: SUN LIFE PROSPERITY GS FUND, INC. DIRECTORS / OFFICERS STOCK EXEC. TAX IDENTIFICATION NAME/CURRENT RESIDENTIAL ADDRESS NATIONALITY BOARD GENDER OFFICER INC'R NUMBER HOLDER сомм. 1. BENEDICTO C. SISON Filipino and CG/M Y Chairman C N American 2. VALERIE N. PAMA Filipino N M Y President N.A. 3. ALELI ANGELA G, QUIRINO N I Y N A&C/M Filipino 4. OSCAR S. REYES A&C/C Filipino N I Y N and CG/M 5. CIELITO F. HABITO A&C/M Y N and Filipino N I CG/C 6. MARIA TERESA A. CO Compliance Officer, Money Laundering **Filipino** N N Reporting N N Officer and Data Officer 7. JEANEMAR S. TALAMAN Filipino N N N Treasurer N.A. 8. ANNA KATRINA C. KABIGTING-IBERO Corporate Filipino N N N N.A. Secretary 9. FRANCES IANNA S. CANTO Asst. Filipino N N Corporate N.A. Secretary 10. nothing follows 11. 12. 13. 14. 15. INSTRUCTION: FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.

FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.

FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.

FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.

FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.

FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION

GIS STORM ESECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

Page

STOCK CORPORATION

=======================================	=======	PLEASE PRINT	LEGIBLY =====	======	=======	======
CORPORATE NAME:	SUN LIFE I		GS FUND, INC.			
TOTAL NUMBER OF STOCKHOLDERS:	926					
TOTAL ASSETS BASED ON LATEST AUDITED FINA	ANCIAL STATE	MENTS:	PHP 138,159,911			
	STO	CKHOLDER'S II	NFORMATION			
		SHARES S	UBSCRIBED		AMOUNT	TAX IDENTIFICATION NUMBER
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	ТУРЕ	NUMBER	AMOUNT (PhP)	% OF OWNER- SHIP	PAID (PhP)	
1 BENEDICTO C. SISON	common	1	0.01			
				0.00%	0.01	
	TOTAL	1	0.01			
2. VALERIE N. PAMA	common	1	0.01			
				0.00%	0.01	
	TOTAL	1	0.01	1		
3. ALELI ANGELA G. QUIRINO	common	1	0.01			
		~~				
				0.00%	0.01	
	TOTAL	1				
4. OSCAR S. REYES	common	1	0.01			l
				0.00%	0.01	
	TOTAL	1	0.01			
5. CIELITO F. HABITO	common	1	0.01			
				0.00%	0.01	
	TOTAL	1	0.01			
6. OTHERS	common	80,151,262	801,512.62			
* List of Top 20 shareholders submitted				100.00%	801,512.62	
separately (confidential) to the SEC-CGFD on 08 August 2023	momax	00 454 262	801,512.62		001,512.02	
7. nothing follows	TOTAL	80,151,262	801,512.62			
7. nothing follows				ŀ		
	TOTAL					
TOTAL AMOUNT O	F SUBSCRIBE		801,512.67			801,512.67
		TOTAL AMOU	INT OF PAID-UP CA	APITAL		

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

STOCK CORPORATION

=======================================	======	PLEASE PRINT	LEGIBLY ====			=======	
CORPORATE NAME:	SUN LIFE	PROSPERITY	GS FUND, INC.				
NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:							
TOTAL ASSETS BASED ON LATEST AUDITED FINA	NCIAL STATI	EMENTS:					
	STO	CKHOLDER'S II	NFORMATION				
		SHARES S	AMOUNT				
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	ТУРЕ	NUMBER	AMOUNT (PhP)	% OF OWNER- SHIP	AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER	
8.							
9.							
						1	
				-			
10.							
7							
11.							
12.							
13.							
13.				-			
				1			
14.							
				-			
TOTAL AMOUNT OF	SUBSCRIBE	D CAPITAL					
		TOTAL AMOU	NT OF PAID-UP	CAPITAL			

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

STOCK CORPORATION

=======================================		PLEASE PRI	NT LEGIBLY ====	=======		======	
CORPORATE NAME:	SUN LIFE	PROSPERITY	GS FUND, INC.				
TOTAL NUMBER OF STOCKHOLDERS:	NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:						
TOTAL ASSETS BASED ON LATEST AUDITED FINA	ANCIAL STATE	EMENTS:					
	ST	OCKHOLDER'S	INFORMATION				
		SHARES	SUBSCRIBED	AMOUNT			
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	ТҮРЕ	NUMBER	AMOUNT (PhP)	% OF OWNER- SHIP	PAID (PhP)	TAX IDENTIFICATION NUMBER	
15.							
	Tr.						
				-			
16.							
17.				-			
				-			
				1			
18.							
						1	
				-			
18.				-			
				1			
20.							
				-			
				-			
21.							
TOTAL AMOUNT O	E CUDCCDID	ED CADITAL					
TOTAL AMOUNT O	r SUBSCRIBI		UNT OF PAID-UP	CAPITAI.			
		1 O I ALI ALIME	0. 17110 01				

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

STOCK CORPORATION

=======================================		- PLEA	SE PRINT LEGIBLY	,	==========			
CORPORATE NAME:	UN LIFE PROSPERIT	y GS FUN	ID, INC.					
1. INVESTMENT OF CORPO FUNDS IN ANOTHER CO	ASSESSMENT TRANSPORT	AMOUNT (PhP)			DATE O	F BOARD RESOLUTION		
1.1 STOCKS		2,390,700.23					N.A.	
1.2 BONDS/COMMERO by Private Corpora	ations)	118,756,618.55			6 0 0	N.A.		
1.3 LOANS/ CREDITS/		N.A.			N.A.			
1.4 GOVERNMENT TRI	EASURY BILLS		N.A.				N.A.	
1.5 OTHERS			15,034,657	7.71			N.A.	
2. INVESTMENT OF CORPO SECONDARY PURPOSES		/ITIES UN	DER ITS		DATE OF BOA		DATE OF STOCKHOLDERS RATIFICATION	
	N.A.				N.A.		N.A.	
3. TREASURY SHARES	e.				NO. OF SHARES		% AS TO THE TOTAL NO. OF SHARES ISSUED	
				321,366,022			80.04%	
4. UNRESTRICTED/UNAPI					ST FISCAL YEA	R : 0		
5. DIVIDENDS DECLARED	DURING THE IMMEDIA	TELY PRE						
TYPE O	F DIVIDEND		AMOUNT (PhP)				DATE DECLARED	
5.1 CASH					N.A.		N.A.	
5.2 STOCK					N.A.		N.A. N.A.	
5.3 PROPERTY		TOTAL	P		N.A.		N.A.	
6. ADDITIONAL SHARES IS	SSUED DURING THE PE	RIOD: N.A	Λ.					
DATE	NO. OF	SHARES				AMOU	NT	
SECONDARY LICENSE/REG	ISTRATION WITH SEC SEC	AND OTH		CY:			IC	
TYPE OF LICENSE/REGN.	Certificate of Incorporation/Registr	Certificate of			N.A.		N.A.	
DATE ISSUED:	23-Nov-04					N.A.		
DATE STARTED OPERATIONS:	1-Mar-05)	N.A.			N.A.	
TOTAL ANNUAL COM DIRECTORS DURING THI YEAR (in	E PRECEDING FISCAL	TOTAL	NO. OF OFFICERS TOTAL NO. O					
254,85	56		6		0		0	

I, ANNA KATRINA C. KABIGTING-IBERO Corporate Secretary of SUN LIFE PROSPERITY GS FUND, INC. declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC.

I understand that the Commission may place the corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (Section 177, RA No. 11232).

Done this date and place indicated below.

ANNA KATRINA C. KABIGTING-IBERO (Signature over printed name)

SUBSCRIBED AND SWORN TO before me in the City of Makati on by affiant who personally appeared before me and exhibited to me her Driver's License with ID No. valid until 01 September 2032.

NOTARY PUBLIC

ATTY JOEL L. VII Notary Public for Makati City until 12-31-2024 Roll No. 51808, Appointment No. M-069 IBP Lifetime No. 018385, 12-27-17, FPLM

MCLE Compliance No. VII-0007561 PTR No. 956361/1-03-2023 Unit 203, Carreon Bldg.

2746 Zenaida St., Poblacion, Makati City 1210

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