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# **Company Information**

SEC Registration No.: CS201701307

Company Name: SUN LIFE PROSPERITY DOLLAR STARTER FUND, INC.

Industry Classification: O93000 Company Type: Stock Corporation

## **Document Information**

**Document ID:** OST10830202381592319 **Document Type:** General Information Sheet

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Acceptance of this document is subject to review of forms and contents

#### FOR THE YEAR 2023

#### STOCK CORPORATION

#### GENERAL INSTRUCTIONS:

- 1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. DO NOT LEAVE ANY ITEM BLANK. WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING.
- IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
- 3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE CORPORATION.
- 4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURED OR BECAME EFFECTIVE.
- 5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES.
  ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE
- 6. ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.
- 7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

LAWS, RULES AND REGULATIONS		=== PLEASE PRINT LEGIBLY :			==	
CORPORATE NAME:					DATE REGISTERED:	
SUN LI	1/16/2017					
BUSINESS/TRADE NAME:					nager valor sun	
SUN LI		FISCAL YEAR END:				
SEC REGISTRATION NUMBER:						
					December 31	
DATE OF ANNUAL MEETING PER BY-LAW	'S:				CORPORATE TAX IDENTIFICATION NUMBER (TIN)	
	EVERY FOURTH W	EDNESDAY OF JUNE			009 525 650	
CTUAL DATE OF ANNUAL MEETING:		•			WEBSITE/URL ADDRESS:	
	11 Jul	y 2023			www.sunlife.com.ph	
COMPLETE PRINCIPAL OFFICE ADDRESS:					E-MAIL ADDRESS:	
SUN LIFE CENTRE, 5TH AVEN	UE CORNER RIZAL D	RIVE, BONIFACIO GLOBA	CITY, 163	34 TAGUIG CITY	AnnaKatrina.Kabigting- lbero@sunlife.com	
COMPLETE BUSINESS ADDRESS:					FAX NUMBER:	
SUN LIFE CENTRE, 5TH AVEN	(632) 8849 9727					
OFFICIAL E-MAIL ADDRESS	ALTERNATE	E-MAIL ADDRESS	OFFI	CIAL MOBILE NUMBER	ALTERNATE MOBILE NUMBER	
sunlife_sec_communications@sunlife.com	sunlife_sec_commu	unications2@sunlife.com	1		<del></del>	
NAME OF EXTERNAL AUDITOR & ITS SIG	NING PARTNER:		SEC ACCREDITATION NUMBER (if applicable):		TELEPHONE NUMBER(S):	
NAVARRO AMPER & CO. / DELOITTE TOU	CHE TOHMATSU - JO	EFFREY MARK FERRER	•	55C 15 (1 500 1 55C	(632) 8555 8888	
PRIMARY PURPOSE/ACTIVITY/INDUSTR	Y PRESENTLY ENGA	AGED IN:	INDUSTR	Y CLASSIFICATION:	GEOGRAPHICAL CODE:	
INVESTME	NT COMPANY			N.A.	N.A.	
=======================================	====== INTI	ERCOMPANY AFFILIATIO	NS ====			
PARENT COMPANY		SEC REGISTRATIO	NO.	I	ADDRESS	
N.A	N.A N.A					
SUBSIDIARY/AFFILIAT	E	SEC REGISTRATIO	NO.		ADDRESS	
N,A		N.A			N.A	
	Cramm	HER ADDITIONAL CURPT II	MECECCAN	V		
	NOTE	: USE ADDITIONAL SHEET II	NECESSAR	(U)		

AMENDED GENERAL INFORMATION SHEET							
STOCK CORPORATION							
======================================							
Corporate Name: SUN LIFE PROSPERITY DOLLAR STARTER FUND, INC.							
A. Is the Corporation a covered person under the Anti Mone							
(AMLA), as amended? (Rep. Acts. 9160/9164/10167/103	865]						
Please check the appropriate box:							
1.							
a. Banks	Jewelry dealers in precious metals, who, as a						
b. Offshore Banking Units c. Quasi-Banks	business, trade in precious metals						
d. Trust Entities							
e. Non-Stock Savings and Loan Associations							
f. Pawnshops							
g. Foreign Exchage Dealers	Jewelry dealers in precious stones, who, as a						
h. Money Changers	business, trade in precious stone						
i. Remittance Agents	aw new ran was well and the first and a state of the stat						
j. Electronic Money Issuers							
k. Financial Institutions which Under Special Laws are subject to							
Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation,	Company service providers which, as a business,						
including their subsidiaries and affiliates.	<ol><li>provide any of the following services to third</li></ol>						
2.	parties:						
a. Insurance Companies							
b. Insurance Agents	a. acting as a formation agent of juridical persons						
c. Insurance Brokers	<ul> <li>b. acting as (or arranging for another person to act a a director or corporate secretary of a company, a</li> </ul>						
d. Professional Reinsurers	partner of a partnership, or a similar position in						
e. Reinsurance Brokers	relation to other juridical persons						
f. Holding Companies	, and a second						
g. Holding Company Systems h. Pre-need Companies	c. providing a registered office, business address or						
i. Mutual Benefit Association	accommodation, correspondence or administrativ						
j. All Other Persons and entities supervised and/or regulated by the	address for a company, a partnership or any other						
Insurance Commission (IC)	legal person or arrangement						
3.							
a. Securities Dealers	d. acting as (or arranging for another person to act a						
b. Securities Brokers	a nominee shareholder for another person						
☐ c. Securities Salesman	7. Persons who provide any of the following services						
d. Investment Houses	a. managing of client money, securities or other asse						
e. Investment Agents and Consultants	b. management of bank, savings or securities accoun						
f. Trading Advisors	D. management of bank, savings or securities accoun						
g. Other entities managing Securities or rendering similar services  h. Mutual Funds or Open-end Investment Companies	c. organization of contributions for the creation,						
i. Close-end Investment Companies	operation or management of companies						
j. Common Trust Funds or Issuers and other similar entities							
k. Transfer Companies and other similar entities	d. creation, operation or management of juridical						
1. Other entities administering or otherwise dealing in currency,	persons or arrangements, and buying and selling						
commodities or financial derivatives based there on	business entities						
m. Entities administering of otherwise dealing in valuable objects	8. None of the above						
n. Entities administering or otherwise dealing in cash Substitutes and	Describe Investment Company						
other similar monetary instruments or property supervised	nature of						
and/or regulated by the Securities and Exchange Commission	business:						
(SEC)							
B. Has the Corporation complied with the requirements on Custor							
(CDD) or Know Your Customer (KYC), record-keeping, and sub-	mission of reports						
under the AMLA, as amended, since the last filing of its GIS?							

STOCK CORPORATION

CORPORATE NA	AME:		SUN LIFE PI	ROSPERITY DO	OLLAR STARTI	ER FUND, INC.		
			CAPITA	L STRUCTURE				
AUTHORIZED CA	PITAL STOCK				-			
		TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE		AMOUNT (USD) (No. of shares X Par/Stat		
		common	37,000,000	Pair South Corp. New Miles Co. Nav.	1.00	37,000,000.00		
		TOTAL	37,000,000		TOTAL P	37,000,000.00		
SUBSCRIBED CAP	ITAL							
FILIPINO	NO. OF STOCK- HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (USD)	% OF OWNERSHIP	
	1,570	common	55,637,244	55,637,244	1.00	55,637,244.00	99.54%	
		PRESERVED STATE						
		TOTAL	55,637,244	TOTAL	TOTAL P	55,637,244.00	99.54%	
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK- HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (USD)	% OF OWNERSHIP	
American British Chinese French Taiwanese US Citizen	1 1 11 1 4	common common common common common	2,339 28,707 147,881 18,909 58,563 3,284	147,881 18,909	1.00 1.00 1.00 1.00 1.00	2,339,00 28,707,00 147,881,00 18,909,00 58,563,00 3,284,00	0.00% 0.05% 0.26% 0.03% 0.10% 0.01%	
Percentage of Fo	reign Equity :	TOTAL	259,683	TOTAL	TOTAL P	259,683.00	0.46%	
	29-50			TOTAL	SUBSCRIBED P	55,896,927.00		
PAID-UP CAPIT	and the second							
FILIPINO	NO. OF STOCK- HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STAT	ED VALUE AMOUNT (USD)		% OF OWNERSHIP	
	1,570	common	55,637,244		1.00	55,637,244.00	99.54%	
FORFIGN	L NO OF	TOTAL	55,637,244		TOTAL P	55,637,244.00	99.54% % OF	
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK- HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE		PAR/STATED VALUE AMOUNT (USD)		
American British Chinese French	1 1 11 1	common common common common	2,339 28,707 147,881 18,909		1.00 1.00 1.00 1.00	2,339.00 28,707.00 147,891.00 18,909.00	0.009 0.059 0.269 0.039	
Taiwanese US Citizen	4	common	58,563 3,284		1.00 1.00	58,563.00 3,284.00	0.10%	
0.46 %	- 7	TOTAL			TOTAL P	259,683.00	0.46%	
				TOTAL PAID-U	IP P	55,896,927.00		

NOTE: USE ADDITIONAL SHEET IF NECESSARY	
* Common, Preferred or other classification	
** Other than Directors, Officers, Shareholders owning 10% of outstanding shares.	

STOCK CORPORATION

PLEASE PRINT LEGIBLY ================ CORPORATE NAME: SUN LIFE PROSPERITY DOLLAR STARTER FUND, INC. DIRECTORS / OFFICERS STOCK EXEC. TAX IDENTIFICATION OFFICER NAME/CURRENT RESIDENTIAL ADDRESS NATIONALITY INC'R BOARD GENDER HOLDER COMM. NUMBER 1. BENEDICTO C. SISON Filipino and Y C Y Chairman CG/M American 2. VALERIE N. PAMA Filipino Y Y President N.A. M 3. ALELI ANGELA G. QUIRINO A&C/M Y N and Filipino Y I CG/C 4. TERESITA J. HERBOSA A&C/C N Filipino N I 5. OSCAR S. REYES CG/M N Y and Filipino N I A&C/M 6. MARIA TERESA A. CO Compliance Officer, Data -----Protection Officer & N.A. **Filipino** N N N Money Laundering Reporting Officer 7. JEANEMAR S. TALAMAN Filipino N N N Treasurer N.A. 8. ANNA KATRINA C. KABIGTING-Corporate IBERO Filipino N N N N.A. Secretary 9. FRANCES IANNA S. CANTO Asst. N Corporate N.A. Filipino N Secretary 10. nothing follows 11.

#### INSTRUCTION

12.

13.

14.

15.

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.

FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.

FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.

FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.

FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.

FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION S. STOCKING SECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

STOCK CORPORATION

=======================================		PLEASE PRIN	T LEGIBLY =====		=========	======	
CORPORATE NAME:			OOLLAR STARTER		2.50		
TOTAL NUMBER OF STOCKHOLDERS:	NO. OF STOCKHOLDERS WITH : SHARES EACH:						
TOTAL ASSETS BASED ON LATEST AUDITED FIN	ANCIAL STATE	MENTS:	USD 103,219,891				
	STO	OCKHOLDER'S	INFORMATION				
		SHARES	SUBSCRIBED				
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	ТУРЕ	NUMBER	AMOUNT (USD)	% OF OWNER- SHIP	AMOUNT PAID (USD)	TAX IDENTIFICATIO NUMBER	
1 BENEDICTO C. SISON	common	1	1.00	0.00%	1.00		
	TOTAL	1	1.00				
TO WALEDYE NODAMA		1	1.00	0.00%	4.00		
2. VALERIE N. PAMA	common	.1	1.00	0.0076	1.00		
i	TOTAL	1	1.00				
3. ALELI ANGELA G. QUIRINO	common	1	1.00	0.00%	1.00		
	TOTAL	1	1.00				
4. TERESITA J. HERBOSA	common	1	1.00	0.00%	1.00		
	TOTAL	1	1.00				
5. OSCAR S. REYES	common	1	1.00	0.00%	1.00		
S. GOOMESTED			-				
	common	1	1.00				
6. OTHERS	common	55,896,922	55,896,922.00	100.00%			
*List of Top 20 shareholders submitted separately (confidential) to the SEC-CGFD on					55,896,922.00		
08 August 2023			FF 00/ 033 00		33,070,722.00		
	TOTAL	55,896,922	55,896,922.00				
7. nothing follows				-			
				-			
TOTAL AMOUNT O	F SUBSCRIBE	D CAPITAL	55,896,927.00		722	100400000	
			UNT OF PAID-UP CA		55	5,896,927.00	

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

STOCK CORPORATION

=======================================		PLEASE PRIN	T LEGIBLY =====				
CORPORATE NAME:			DOLLAR START	ER FUND, IN	C.		
TOTAL NUMBER OF STOCKHOLDERS:	NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:						
TOTAL ASSETS BASED ON LATEST AUDITED FIN.	ANCIAL STATI	EMENTS:					
	ST	OCKHOLDER'S	INFORMATION				
		SHARES	AMOUNT				
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	ТҰРЕ	NUMBER	AMOUNT (USD)	% OF OWNER- SHIP	PAID (USD)	TAX IDENTIFICATION NUMBER	
8.							
				-			
9.							
				_			
10.							
17-31							
11.							
12.							
	•						
13.							
				_			
				-			
14.							
				-			
TOTAL AMOUNT O	F SUBSCRIB	ED CAPITAL					
The Recommendation of	entered to the control of the contro	Constitution of the Consti	OUNT OF PAID-UP	CAPITAL			

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

STOCK CORPORATION

=======================================	=======	PLEASE PRI	NT LEGIBLY =====		=======	======	
CORPORATE NAME:	SUN LIFE	PROSPERITY	DOLLAR STARTI				
TOTAL NUMBER OF STOCKHOLDERS:  NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:							
TOTAL ASSETS BASED ON LATEST AUDITED FINA	ANCIAL STATI	EMENTS:					
	ST	OCKHOLDER'S	SINFORMATION				
		SHARE	AMOUNT				
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	ТУРЕ	NUMBER	AMOUNT (USD)	% OF OWNER- SHIP	PAID (USD)	TAX IDENTIFICATION NUMBER	
15.							
				-			
16.							
	i-			-			
17.							
18.							
				-			
19.							
		0					
				-			
20.							
21.							
21.				-			
TOTAL AMOUNT O	F SUBSCRIB	AND THE PERSON NAMED IN TH					
		TOTAL AM	OUNT OF PAID-UP	CAPITAL			

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

STOCK CORPORATION

			CK CORPORATION	1000	=========			
CORPORATE NAME:		PLEA	SE PRINT LEGIBL	Y				
AND COLORS OF THE COLORS OF TH	The supplied of the supplied o	Y DOLLA	R STARTER F		INC.			
1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION			AMOUNT (USD)			DATE OF BOARD RESOLUTION		
1.1 STOCKS			N.A.				N.A.	
1.2 BONDS/COMMERCIAL PA by Private Corporations			51,252,81	9.17			N.A.	
1.3 LOANS/ CREDITS/ ADVA			N.A.			N.A.		
1.4 GOVERNMENT TREASUR	Y BILLS		N.A.				N.A.	
1.5 OTHERS			N.A.				N.A.	
2. INVESTMENT OF CORPORATE SECONDARY PURPOSES (PLEA		VITIES U	NDER ITS		DATE OF BOA		DATE OF STOCKHOLDERS RATIFICATION	
	N.A.		100 A		N.A.		N.A.	
3. TREASURY SHARES					NO. OF SHAI	RES	% AS TO THE TOTAL NO. OF SHARES ISSUED	
				62,955			1.05%	
4. UNRESTRICTED/UNAPPROPR					AST FISCAL YE	AR: USD 3,	772,861	
5. DIVIDENDS DECLARED DURIN	IG THE IMMEDIA	ATELY PR						
TYPE OF DIVI	DEND		A		INT (USD)		DATE DECLARED	
5.1 CASH					N.A.		N.A.	
5.2 STOCK			N.A.				N.A.	
5.3 PROPERTY		TOTAL	P		N.A.		N.A.	
6. ADDITIONAL SHARES ISSUED	DURING THE PI	ERIOD: N	.A.					
DATE	NO. OF	SHARES				AMOU	NT	
SECONDARY LICENSE/REGISTRA NAME OF AGENCY:	TION WITH SEC	C AND OT		NCY:			1 C	
TYPE OF	Certificate of rporation/Regist	ration		N.A.			N.A.	
DATE ISSUED:	16-Jan-17			N.A.			N.A.	
DATE STARTED OPERATIONS:	6-Nov-17			N.A.			N.A.	
TOTAL ANNUAL COMPENS. DIRECTORS DURING THE PREC YEAR (in USD)		TOTAL	NO OF OFFICEDS		TOTAL NO. O	ER ADMOCRATICATION CONTRACTOR	TOTAL MANPOWER COMPLEMENT	
4,286			6 0			0		

I, ANNA KATRINA C. KABIGTING-IBERO. Corporate Secretary of Sun Life Prosperity Dollar Starter Fund, Inc. declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC.

I understand that the Commission may place the corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (Section 177, RA No. 11232).

Done this date and place indicated below.

ANNA KATRINA C. KABIGTING-IBERO (Signature over printed name)

SUBSCRIBED AND SWORN TO before me in the City of Makati personally appeared before me and exhibited to me her Driver's License with ID N until 01 September 2032.

ATTY JOEL L. VILLALON Notary Public for Makati City until 12-31-2024 otary Public top Makati City Until 12-31-20 Roll No. 51808, Appointment No. M-069 IBP Lifetime No. 018385, 72-27-17, PPLM MCLE Compliance No. VII-0007561 PIR No. 95636/1 / 1-03-2023

Unit 203, Carreon Bldg., 2746 Zenaida St., Poblacion, Makati City 1210

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