



## SECURITIES AND EXCHANGE COMMISSION

Secretariat Building, PCC Complex, Roxas Boulevard, Pasay City, 1307 Metro Manila Philippines

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**The following document has been received:**

**Receiving:** ICTD ERMD 11

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### Company Information

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**SEC Registration No.:** A200202061

**Company Name:** SUN LIFE PROSPERITY DOLLAR ADVANTAGE FUND INC.

**Industry Classification:** J66930

**Company Type:** Stock Corporation

### Document Information

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**Document ID:** OST1093020228790784

**Document Type:** General Information Sheet

**Document Code:** GIS

**Period Covered:** July 13, 2022

**Submission Type:** Amendment, Annual Meeting

**Remarks:** None

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Acceptance of this document is subject to review of forms and contents

**AMENDED GENERAL INFORMATION SHEET (GIS)**

FOR THE YEAR **2022**  
**STOCK CORPORATION**

**GENERAL INSTRUCTIONS:**

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS MEETING. DO NOT LEAVE ANY ITEM BLANK. WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE.
6. ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS.

\*\*\*\*\* PLEASE PRINT LEGIBLY \*\*\*\*\*

<b>CORPORATE NAME:</b> SUN LIFE PROSPERITY DOLLAR ADVANTAGE FUND, INC.		<b>DATE REGISTERED:</b> 2/13/2002	
<b>BUSINESS/TRADE NAME:</b> SUN LIFE PROSPERITY DOLLAR ADVANTAGE FUND, INC.		<b>FISCAL YEAR END:</b> December 31	
<b>SEC REGISTRATION NUMBER:</b> A200202061		<b>CORPORATE TAX IDENTIFICATION NUMBER (TIN):</b> 216-214-876-000	
<b>DATE OF ANNUAL MEETING PER BY-LAWS:</b> EVERY FOURTH WEDNESDAY OF MAY		<b>WEBSITE/URL ADDRESS:</b> www.sunlife.com.ph	
<b>ACTUAL DATE OF ANNUAL MEETING:</b> 13 July 2022		<b>E-MAIL ADDRESS:</b> AnnaGalina.Kabiging-bero@sunlife.com	
<b>COMPLETE PRINCIPAL OFFICE ADDRESS:</b> SUN LIFE CENTRE, 5TH AVENUE CORNER RIZAL DRIVE, BONIFACIO GLOBAL CITY, 1634 TAGUIG CITY		<b>FAX NUMBER:</b> (632) 8849 9727	
<b>OFFICIAL E-MAIL ADDRESS</b>	<b>ALTERNATE E-MAIL ADDRESS</b>	<b>OFFICIAL MOBILE NUMBER</b>	<b>ALTERNATE MOBILE NUMBER</b>
sunlife_sec_communications@sunlife.com	sunlife_sec_communications2@sunlife.com	0999 - 9917178	0917-8024021
<b>NAME OF EXTERNAL AUDITOR &amp; ITS SIGNING PARTNER:</b> NAVARRO AMPER & CO. / DELOITTE TOUCHE TOHMATSU - JOFFEY MARK FERRER		<b>SEC ACCREDITATION NUMBER (if applicable):</b> SEC A.N. 0004-SEC	<b>TELEPHONE NUMBER(S):</b> (632) 8555 8888
<b>PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN:</b> INVESTMENT COMPANY		<b>INDUSTRY CLASSIFICATION:</b> N.A.	<b>GEOGRAPHICAL CODE:</b> N.A.

\*\*\*\*\* INTERCOMPANY AFFILIATIONS \*\*\*\*\*

PARENT COMPANY	SEC REGISTRATION NO.	ADDRESS
N.A.	N.A.	N.A.
SUBSIDIARY/AFFILIATE	SEC REGISTRATION NO.	ADDRESS
N.A.	N.A.	N.A.

NOTE: USE ADDITIONAL SHEET IF NECESSARY

<b>AMENDED GENERAL INFORMATION SHEET</b> <b>STOCK CORPORATION</b> ===== PLEASE PRINT LEGIBLY =====	
Corporate Name: <b>SUN LIFE PROSPERITY DOLLAR ADVANTAGE FUND, INC.</b>	
<b>A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365)</b> <input checked="" type="radio"/> Yes <input type="radio"/> No	
<b>Please check the appropriate box:</b>	
<b>1.</b> <input type="checkbox"/> a. Banks <input type="checkbox"/> b. Offshore Banking Units <input type="checkbox"/> c. Quasi-Banks <input type="checkbox"/> d. Trust Entities <input type="checkbox"/> e. Non-Stock Savings and Loan Associations <input type="checkbox"/> f. Pawnshops <input type="checkbox"/> g. Foreign Exchange Dealers <input type="checkbox"/> h. Money Changers <input type="checkbox"/> i. Remittance Agents <input type="checkbox"/> j. Electronic Money Issuers <input type="checkbox"/> k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.	<b>4.</b> <input type="checkbox"/> Jewelry dealers in precious metals, who, as a business, trade in precious metals
<b>2.</b> <input type="checkbox"/> a. Insurance Companies <input type="checkbox"/> b. Insurance Agents <input type="checkbox"/> c. Insurance Brokers <input type="checkbox"/> d. Professional Reinsurers <input type="checkbox"/> e. Reinsurance Brokers <input type="checkbox"/> f. Holding Companies <input type="checkbox"/> g. Holding Company Systems <input type="checkbox"/> h. Pre-need Companies <input type="checkbox"/> i. Mutual Benefit Association <input type="checkbox"/> j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)	<b>5.</b> <input type="checkbox"/> Jewelry dealers in precious stones, who, as a business, trade in precious stone
<b>3.</b> <input type="checkbox"/> a. Securities Dealers <input type="checkbox"/> b. Securities Brokers <input type="checkbox"/> c. Securities Salesman  <input type="checkbox"/> d. Investment Houses <input type="checkbox"/> e. Investment Agents and Consultants <input type="checkbox"/> f. Trading Advisors <input type="checkbox"/> g. Other entities managing Securities or rendering similar services <input checked="" type="checkbox"/> h. Mutual Funds or Open-end Investment Companies <input type="checkbox"/> i. Close-end Investment Companies <input type="checkbox"/> j. Common Trust Funds or Issuers and other similar entities <input type="checkbox"/> k. Transfer Companies and other similar entities <input type="checkbox"/> l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on <input type="checkbox"/> m. Entities administering or otherwise dealing in valuable objects <input type="checkbox"/> n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)	<b>6.</b> Company service providers which, as a business, provide any of the following services to third parties:  <input type="checkbox"/> a. acting as a formation agent of juridical persons <input type="checkbox"/> b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons  <input type="checkbox"/> c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement  <input type="checkbox"/> d. acting as (or arranging for another person to act as) a nominee shareholder for another person
<b>7.</b> Persons who provide any of the following services:  <input type="checkbox"/> a. managing of client money, securities or other assets <input type="checkbox"/> b. management of bank, savings or securities accounts <input type="checkbox"/> c. organization of contributions for the creation, operation or management of companies  <input type="checkbox"/> d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities	<b>8.</b> <input type="checkbox"/> None of the above Describe nature of business: Investment Company
<b>B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS?</b> <input checked="" type="radio"/> Yes <input type="radio"/> No	

**AMENDED GENERAL INFORMATION SHEET**

STOCK CORPORATION

PLEASE PRINT LEGIBLY

<b>CORPORATE NAME:</b> SUN LIFE PROSPERITY DOLLAR ADVANTAGE FUND, INC.							
<b>CAPITAL STRUCTURE</b>							
<b>AUTHORIZED CAPITAL STOCK</b>							
	<b>TYPE OF SHARES *</b>	<b>NUMBER OF SHARES</b>	<b>PAR/STATED VALUE</b>	<b>AMOUNT (USD) [No. of shares X Par/Stated Value]</b>			
	Common	33,200,000	1.00	33,200,000.00			
<b>TOTAL</b>		<b>33,200,000</b>	<b>TOTAL P</b>	<b>33,200,000.00</b>			
<b>SUBSCRIBED CAPITAL</b>							
<b>FILIPINO</b>	<b>NO. OF STOCK-HOLDERS</b>	<b>TYPE OF SHARES *</b>	<b>NUMBER OF SHARES</b>	<b>NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **</b>	<b>PAR/STATED VALUE</b>	<b>AMOUNT (USD)</b>	<b>% OF OWNERSHIP</b>
	1,031	Common	7,371,411	7,371,411	1.00	7,371,411.00	99.37%
<b>TOTAL</b>			<b>7,371,411</b>	<b>TOTAL</b>	<b>TOTAL P</b>	<b>7,371,411.00</b>	
<b>FOREIGN (INDICATE BY NATIONALITY)</b>	<b>NO. OF STOCK-HOLDERS</b>	<b>TYPE OF SHARES *</b>	<b>NUMBER OF SHARES</b>	<b>NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **</b>	<b>PAR/STATED VALUE</b>	<b>AMOUNT (USD)</b>	<b>% OF OWNERSHIP</b>
Chinese	17	Common	27,487	27,487	1.00	27,487.00	0.37%
American	10	Common	4,740	4,740	1.00	4,740.00	0.06%
British	4	Common	4,013	4,013	1.00	4,013.00	0.05%
Dutch	1	Common	104	104	1.00	104.00	0.00%
Indian	1	Common	1,424	1,424	1.00	1,424.00	0.02%
Canadian	1	Common	1,955	1,955	1.00	1,955.00	0.03%
Swedish	1	Common	3,086	3,086	1.00	3,086.00	0.04%
Singaporean	1	Common	3,743	3,743	1.00	3,743.00	0.05%
<b>Percentage of Foreign Equity:</b>			<b>TOTAL</b>	<b>46,552</b>	<b>TOTAL</b>	<b>TOTAL P</b>	<b>46,552.00</b>
						<b>TOTAL SUBSCRIBED P</b>	<b>7,417,963.00</b>
<b>PAID-UP CAPITAL</b>							
<b>FILIPINO</b>	<b>NO. OF STOCK-HOLDERS</b>	<b>TYPE OF SHARES *</b>	<b>NUMBER OF SHARES</b>	<b>PAR/STATED VALUE</b>	<b>AMOUNT (USD)</b>	<b>% OF OWNERSHIP</b>	
	1,031	Common	7,371,411	1.00	7,371,411.00	99.37%	
<b>TOTAL</b>			<b>7,371,411</b>	<b>TOTAL P</b>	<b>7,371,411.00</b>		
<b>FOREIGN (INDICATE BY NATIONALITY)</b>	<b>NO. OF STOCK-HOLDERS</b>	<b>TYPE OF SHARES *</b>	<b>NUMBER OF SHARES</b>	<b>PAR/STATED VALUE</b>	<b>AMOUNT (USD)</b>	<b>% OF OWNERSHIP</b>	
Chinese	17	Common	27,487	1.00	27,487.00	0.37%	
American	10	Common	4,740	1.00	4,740.00	0.06%	
British	4	Common	4,013	1.00	4,013.00	0.05%	
Dutch	1	Common	104	1.00	104.00	0.00%	
Indian	1	Common	1,424	1.00	1,424.00	0.02%	
Canadian	1	Common	1,955	1.00	1,955.00	0.03%	
Swedish	1	Common	3,086	1.00	3,086.00	0.04%	
Singaporean	1	Common	3,743	1.00	3,743.00	0.05%	
<b>0.63 %</b>			<b>TOTAL</b>	<b>46,552</b>	<b>TOTAL P</b>	<b>46,552.00</b>	
						<b>TOTAL PAID-UP P</b>	<b>7,417,963.00</b>
<b>NOTE: USE ADDITIONAL SHEET IF NECESSARY</b>							
* Common, Preferred or other classification							
** Other than Directors, Officers, Shareholders owning 10% of outstanding shares.							

**AMENDED GENERAL INFORMATION SHEET**

STOCK CORPORATION







PLEASE PRINT LEGIBLY

<b>CORPORATE NAME: SUN LIFE PROSPERITY DOLLAR ADVANTAGE FUND, INC.</b>								
<b>DIRECTORS / OFFICERS</b>								
NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INCR	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
1. <b>BENEDICTO C. SISON</b> [REDACTED]	[REDACTED]	N	C	[REDACTED]	Y	Chairman	CG/M	[REDACTED]
2. <b>VALERIE N. PAMA</b> [REDACTED]	[REDACTED]	N	M	[REDACTED]	Y	President	N.A.	[REDACTED]
3. <b>CESAR LUIS F. BATE</b> [REDACTED]	[REDACTED]	N	I	[REDACTED]	Y	N	A&C/ M and CG/C	[REDACTED]
4. <b>OSCAR S. REYES</b> [REDACTED]	[REDACTED]	N	I	[REDACTED]	Y	N	A&C/C and CG/M	[REDACTED]
5. <b>CELITO F. HABITO</b> [REDACTED]	[REDACTED]	N	I	[REDACTED]	Y	N	A&C/M	[REDACTED]
6. <b>MA. JEMILYN S. CAMANIA</b> [REDACTED]	[REDACTED]	N	N	[REDACTED]	N	Compliance Officer, Data Protection Officer & Money Laundering Reporting Officer	N.A.	[REDACTED]
7. <b>JEANEMAR S. TALAMAN</b> [REDACTED]	[REDACTED]	N	N	[REDACTED]	N	Treasurer	N.A.	[REDACTED]
8. <b>ANNA KATRINA C. KABIGTING-IBERO</b> [REDACTED]	[REDACTED]	N	N	[REDACTED]	N	Corporate Secretary	N.A.	[REDACTED]
9. <b>FRANCES IANNA S. CANTO</b> [REDACTED]	[REDACTED]	N	N	[REDACTED]	N	Asst. Corporate Secretary	N.A.	[REDACTED]
10. nothing follows								
11.								
12.								
13.								
14.								
15.								

**INSTRUCTION:**

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.  
 FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.  
 FOR INCR COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.  
 FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.  
 FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.  
 FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION  
 AND "GIS" FOR NOMINATION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

**AMENDED GENERAL INFORMATION SHEET**  
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====						
<b>CORPORATE NAME: SUN LIFE PROSPERITY DOLLAR ADVANTAGE FUND, INC.</b>						
<b>TOTAL NUMBER OF STOCKHOLDERS:</b>		1,867	<b>NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:</b>		1,856	
<b>TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:</b>			USD 37,492,748			
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (USD)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (USD)	% OF OWNERSHIP		
1. BENEDICTO C. SISON 	common	1	1.00	0.00%	1.00	
	<b>TOTAL</b>	<b>1</b>	<b>1.00</b>			
2. CESAR LUIS F. BATE 	common	1	1.00	0.00%	1.00	
	<b>TOTAL</b>	<b>1</b>	<b>1.00</b>			
3. OSCAR S. REYES 	common	1	1.00	0.00%	1.00	
	<b>TOTAL</b>	<b>1</b>	<b>1.00</b>			
4. CIELITO F. HABITO 	common	1	1.00	0.00%	1.00	
	<b>TOTAL</b>	<b>1</b>	<b>1.00</b>			
5. VALERIE N. PAMA 	common	1	1.00	0.00%	1.00	
	<b>TOTAL</b>	<b>1</b>	<b>1.00</b>			
6. OTHERS  *List of Top 20 shareholders submitted separately (confidential) to the SEC-CGFD on 11 August 2022		7,417,958.00	7,417,958.00	100.00%	7,417,958.00	
	<b>TOTAL</b>	<b>7,417,958.00</b>	<b>7,417,958.00</b>			
7. noting follows						
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>			<b>7,417,963.00</b>		<b>7,417,963.00</b>	
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>						

INSTRUCTION. SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

*Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.*

**AMENDED GENERAL INFORMATION SHEET**  
STOCK CORPORATION

PLEASE PRINT LEGIBLY						
CORPORATE NAME:		SUN LIFE PROSPERITY DOLLAR ADVANTAGE FUND, INC.				
TOTAL NUMBER OF STOCKHOLDERS:			NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:			
TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:						
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (USD)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (USD)	% OF OWNERSHIP		
8.						
9.						
10.						
11.						
12.						
13.						
14.						
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>						
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>						

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

*Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.*

**AMENDED GENERAL INFORMATION SHEET**  
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====						
CORPORATE NAME:		SUN LIFE PROSPERITY DOLLAR ADVANTAGE FUND, INC.				
TOTAL NUMBER OF STOCKHOLDERS:				NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:		
TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:						
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (USD)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (USD)	% OF OWNERSHIP		
15.						
16.						
17.						
18.						
19.						
20.						
21.						
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>						
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>						

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

*Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.*



**AMENDED GENERAL INFORMATION SHEET**  
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====			
<b>CORPORATE NAME:</b> SUN LIFE PROSPERITY DOLLAR ADVANTAGE FUND, INC.			
<b>1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION</b>	<b>AMOUNT (USD)</b>	<b>DATE OF BOARD RESOLUTION</b>	
1.1 STOCKS	25,208,318.00	N.A.	
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)	1,897,417.00	N.A.	
1.3 LOANS/ CREDITS/ ADVANCES	N.A.	N.A.	
1.4 GOVERNMENT TREASURY BILLS	N.A.	N.A.	
1.5 OTHERS	N.A.	N.A.	
<b>2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)</b>	<b>DATE OF BOARD RESOLUTION</b>	<b>DATE OF STOCKHOLDERS RATIFICATION</b>	
N.A.	N.A.	N.A.	
<b>3. TREASURY SHARES</b>	<b>NO. OF SHARES</b>	<b>% AS TO THE TOTAL NO. OF SHARES ISSUED</b>	
	10,923,484	59.56%	
<b>4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR</b> USD 22,147,832			
<b>5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR:</b> 0			
<b>TYPE OF DIVIDEND</b>	<b>AMOUNT (USD)</b>	<b>DATE DECLARED</b>	
5.1 CASH	N.A.	N.A.	
5.2 STOCK	N.A.	N.A.	
5.3 PROPERTY	N.A.	N.A.	
<b>TOTAL</b>	<b>P</b>		
<b>6. ADDITIONAL SHARES ISSUED DURING THE PERIOD:</b> N.A.			
<b>DATE</b>	<b>NO. OF SHARES</b>	<b>AMOUNT</b>	
<b>SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:</b>			
<b>NAME OF AGENCY:</b>	<b>SEC</b>	<b>B S P</b>	<b>I C</b>
<b>TYPE OF LICENSE/REGN.</b>	Open End Investment Company	N.A.	N.A.
<b>DATE ISSUED:</b>	13-Feb-02	N.A.	N.A.
<b>DATE STARTED OPERATIONS:</b>	1-Jul-02	N.A.	N.A.
<b>TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in USD)</b>	<b>TOTAL NO. OF OFFICERS</b>	<b>TOTAL NO. OF RANK &amp; FILE EMPLOYEES</b>	<b>TOTAL MANPOWER COMPLEMENT</b>
USD 10,167	6	0	0
<b>NOTE: USE ADDITIONAL SHEET IF NECESSARY</b>			

I, **ANNA KATRINA C. KABIGTING-IBERO**, Corporate Secretary of **Sun Life Prosperity Dollar Advantage Fund, Inc.**, declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.


I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC.

I understand that the Commission may place the corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (Section 177, RA No. 11232).

Done this date and place indicated below.



**ANNA KATRINA C. KABIGTING-IBERO**  
(Signature over printed name)

**SUBSCRIBED AND SWORN TO** before me in PASAY CITY on 29 SEP 2022 by affiant who personally appeared before me and exhibited to me her Driver's License No.  valid until September 1, 2032.

NOTARY PUBLIC

Doc. No. 14  
Page No. 18  
Book No. 14  
Series of 2022

  
ATTY. JULES R. MILAN  
Notary Public  
Appointment No. M-66 / Until 12-31-2022  
Roll No. 45790 / IBP Lifetime No. 04897 / 7-3-03  
PTR O.R. No. 9521012 / 1-4-22 / Pasay City  
MCLE No. VI-0016565 / 01-14-19

