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Company Information

SEC Registration No.: A199908713

Company Name: SUN LIFE OF CANADA PROSPERITY BALANCED FUND INC.

Industry Classification: J67000 Company Type: Stock Corporation

Document Information

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Document Type: General Information Sheet

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Period Covered: July 13, 2022

Submission Type: Amendment, Annual Meeting

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FOR THE YEAR 2022

STOCK CORPORATION

GENERAL INSTRUCTIONS:

- FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS'
 MEETING, DO NOT LEAVE ANY ITEM BLANK, WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF
 THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS,
 THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE
 CORPORATION AT THE ANNUAL MEMBERS' MEETING.
- IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
- 3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE CORPORATION.
- 4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURED OR BECAME EFFECTIVE.
- SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES.
 ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE
- 6. ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.
- THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

***************************************	PLEASE PRINT LEGIBLY	***************************************	***
	OF CANADA PROSPERITY BALANCED FUNI	D, INC.	DATE REGISTERED: 12/21/1999
BUSINESS/TRADE NAME: SUN LIFE	OF CANADA PROSPERITY BALANCED FUN	D, INC.	FISCAL YEAR END:
SEC REGISTRATION NUMBER:			7
	December 31		
DATE OF ANNUAL MEETING PER BY-LAV	CORPORATE TAX IDENTIFICATION NUMBER (TIN) 204-583-064-000		
ACTUAL DATE OF ANNUAL MEETING:	EVERY FOURTH MONDAY OF MAY		WEBSITE/URL ADDRESS:
ACTUAL DATE OF MINORE MEETING.	13 July 2022		www.sunlife.com.ph
COMPLETE PRINCIPAL OFFICE ADDRESS	N. C.		E-MAIL ADDRESS:
	UE CORNER RIZAL DRIVE, BONIFACIO GLOBA	AL CITY, 1634 TAGUIG CITY	AnnaKatrina Kabigting- Ibero@sunlife.com
COMPLETE BUSINESS ADDRESS:			FAX NUMBER:
SUN LIFE CENTRE, 5TH AVEN	UE CORNER RIZAL DRIVE, BONIFACIO GLOBA	AL CITY, 1634 TAGUIG CITY	(632) 8849 9727
OFFICIAL E-MAIL ADDRESS	ALTERNATE E-MAIL ADDRESS	OFFICIAL MOBILE NUMBER	ALTERNATE MOBILE NUMBER
sunife_sec_communications@sunife.com	sunlife_sec_communications2@sunlife.com	0999 - 9917178	0917-8024021
NAME OF EXTERNAL AUDITOR & ITS SIG	INING PARTNER:	SEC ACCREDITATION NUMBER (if applicable	e): TELEPHONE NUMBER(S):
NAVARRO AMPER & CO. / DELOITTE TOU	JCHE TOHMATSU - JOEFFREY MARK FERRER	SEC A.N. 0004-SEC	(632) 8555 8888
PRIMARY PURPOSE/ACTIVITY/INDUST	RY PRESENTLY ENGAGED IN:	INDUSTRY CLASSIFICATION:	GEOGRAPHICAL CODE:
INVESTME	ENT COMPANY	N.A.	N.A.
	INTERCOMPANY AFFILIATI	ONS ======	
PARENT COMPANY	SEC REGISTRATIO	N NO.	ADDRESS
N.A	N.A.		N.A
SUBSIDIARY/AFFILIAT	TE SEC REGISTRATIO	N NO.	ADDRESS
N.A	N.A.		N.A
	NOTE: USE ADDITIONAL SHEET	FNECESSARY	

AMENDED GENERAL INFORMA STOCK CORPORATI PLEASE PRINT LEG	ION	
Corporate Name: SUN LIFE OF CANADA PR		
A. Is the Corporation a covered person under the Anti Mone		
(AMLA), as amended? (Rep. Acts. 9160/9164/10167/103	65)	(* Yes (No
Please check the appropriate box:		
1.		
a. Banks		
b. Offshore Banking Units	4.	Jewelry dealers in precious metals, who, as a
c. Quasi-Banks		business, trade in precious metals
d. Trust Entities		
e. Non-Stock Savings and Loan Associations		
f. Pawnshops		
g. Foreign Exchage Dealers	>177	Jewelry dealers in precious stones, who, as a
h. Money Changers	5.	business, trade in precious stone
i. Remittance Agents		
i, Electronic Money Issuers		
k. Financial Institutions which Under Special Laws are subject to		
Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation,		Company service providers which, as a business,
including their subsidiaries and affiliates.	6.	provide any of the following services to third
2.		parties:
a. Insurance Companies		
□ b. Insurance Agents		acting as a formation agent of juridical persons
c. Insurance Brokers	_ b	acting as (or arranging for another person to act as)
d. Professional Reinsurers		a director or corporate secretary of a company, a
e. Reinsurance Brokers		partner of a partnership, or a similar position in relation to other juridical persons
f. Holding Companies		relation to other juridical persons
g. Holding Company Systems	1000	1 90 1 11
h. Pre-need Companies	Ц.	providing a registered office, business address or accommodation, correspondence or administrative
i. Mutual Benefit Association		address for a company, a partnership or any other
 j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC) 		legal person or arrangement
3.	П	acting as (or arranging for another person to act as)
b. Securities Brokers	П.	a nominee shareholder for another person
c. Securities Salesman		
C. Securities Satesman	7.	Persons who provide any of the following services:
d. Investment Houses	a	managing of client money, securities or other assets
e. Investment Agents and Consultants	_	
f. Trading Advisors	□ b	. management of bank, savings or securities
g. Other entities managing Securities or rendering similar services		accounts
h. Mutual Funds or Open-end Investment Companies		organization of contributions for the creation,
i. Close-end Investment Companies		operation or management of companies
j. Common Trust Funds or Issuers and other similar entities		creation, operation or management of juridical
k. Transfer Companies and other similar entities	ш,	persons or arrangements, and buying and selling
 I. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on 		business entities
m. Entities administering of otherwise dealing in valuable objects	8.	None of the above
n. Entities administering of otherwise dealing in cash Substitutes and	Describe	Investment Company
other similar monetary instruments or property supervised and/or	V (4.1) (4.1) (4.1) (4.1)	mineral seminary
regulated by the Securities and Exchange Commission (SEC)	business:	
B. Has the Corporation complied with the requirements on Custor	ner Due Dil	igence
(CDD) or Know Your Customer (KYC), record-keeping, and sub-		
under the AMLA, as amended, since the last filing of its GIS?		

GIS_STOCK(v.2020)

AMENDED GENERAL INFORMATION SHEET
STOCK CORPORATION
PLEASE PRINT LEGEBLY

-	200		CAPITAL	STRUCTURE			
AUTHORIZED CA	BITAL STOCK		CALLINA	31,0001,1004			
KO I MOROZED CA	PHALSIUGE	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATE	D VALUE	AMOUNT (PhP) (No. of shares X Par/Stat	ed Value)
		Common	5,000,000,000		0.01	50,000,000.00	
		TOTAL	5,000,000,000		TOTAL P	50,000,000.00	
SUBSCRIBED CAL	PITAL						
FILIPING	NO. OF STOCK- HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHI
	26,625	Coremen	2,196,381,526	2.196,381,526	2.11	21,963,815.26	98.75
	********	***************************************					
		TOTAL	2,196,381,526	TOTAL.	TOTAL P	21,963,815,26	
				NUMBER OF	-		
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK- HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	SHARES IN THE HANDS OF THE PUBLIC**	PAR/STATED VALUE	AMOUNT (PEP)	% OF OWNERSHI
Olnese	98	Common	14,679,096	14,679,096	0.01	146,790,96	0.64
British	18	Conversor	5,213,949	5213,949	0,01	52,139,49	0.23
Anekat	72	Contents	4,223,105 1,019,394	4223,105	0.01	42.231.05 10.193.94	0.05
Canadian	13	Conrecon	667,135	1,019,394. 667,135	0.01	6,671.35	0.0
German	3	Common	547,052	547.052	0.01	5,470.52	0.0
Australian	9	Common	358,519	358539. 0.01		3,585.19	0.0
Japanese	8	Common	329,344	329,344 0.01		3,293.44	0.0
Datch	4	Common	290,811	290,811 0.01		2,908.11	0.0
Singaporean	5.	Common	227,937	227,937	0.81	2,279,37	0.0
Austrian		Common	101,540	101,540 0.01		1,015.40	0.0
Talwanese	1	Corenon	59,077	69,077 0.01		690.77	0.0
Swedish		Common	26,527	26,527 0.01		265.27	0.0
Indian	3	Common	22,577 6,490	22,577	0.01	225.77 64.90	0.00
Spanish	1	Common	P/44D	6,490	0.01	59.70	
				6.020	0.01	60.20	0.00
. Italian		Common	6,020	6,020 2,650	0.01	60.20 26.50	
fratian	1	Common	6,020 2,650	2,650	0.01	26.50	
Italian	reign Equity :	Common	6,020 2,650	2,650 TOTAL		***************	
. Italian	reign Equity :	Common	6,020 2,650	2,650 TOTAL	TOTAL P	26.50 277,912.23	0.00 0.00
Parcentage of Fo	reign Equity :	Common Common TOTAL	6,020 2,650	2,650 TOTAL	TOTAL P	26.50 277,912.23	9.0 % OF
Percentage of Fo	reign Equity FAL NO. OF STOCK	Control TOTAL TYPE OF SHARES *	6,020 2,450 27,791,223 NUMBER OF	TOTAL TOTAL	TOTAL P	20.50 277,912.23 22,241,727.49	% OF OWNERSH
Percentage of Fo	reign Equity FAL NO. OF STOCK HOLDERS	Control TOTAL TYPE OF SILARES *	6,020 2,650 27,791,223 NUMBER OF SHARES	TOTAL TOTAL PAR/STATE	TOTAL P SURSCRIBED P	26,50 277,912,23 22,241,727,49 AMOUNT (PhP)	% OF OWNERSH
Percentage of Fo	reign Equity FAL NO. OF STOCK HOLDERS	Control TOTAL TYPE OF SILARES *	6,020 2,650 27,791,223 NUMBER OF SHARES	TOTAL TOTAL PAR/STATE	TOTAL P SURSCRIBED P	26,50 277,912,23 22,241,727,49 AMOUNT (PhP)	% OF OWNERSH
Percentage of Fo	reign Equity FAL NO. OF STOCK HOLDERS	Control TOTAL TYPE OF SILARES *	6,220 2,550 27,791,223 NUMBER OF SHARES 2,196,310,520	2,650 TOTAL TOTAL PAR/STATI 2,196,381,526	TOTAL P SURSCRIBED P	26,50 277,912,23 22,241,727,49 AMOUNT (PhP)	0.0
Patien Tropian Percentage of Fo PAID-UP CAPPT FILIPINO FOREIGN (INDICATE UY	reign Equity FAL NO. OF STOCK HOLDERS	TOTAL TOTAL TYPE OF SHARES* Common	6,220 2,550 27,791,223 NUMBER OF SHARES 2,196,310,520	2,650 TOTAL TOTAL PAR/STATI 2,196,381,526	TOTAL P SUBSCRIBED P D VALUE 0.01	20.50 277,912.23 22,241,727.49 AMOUNT (PhP) 21,943,815.26	% OF OWNERSH
Patian Propin Propin Propin Propin FILIPINO FOREIGN (INDICATE III) NATIONALITY)	NO. OF STOCK NO. OF STOCK HOLDERS JOSSS NO. OF STOCK	TOTAL TYPE OF SHARES * Connect TOTAL	6,320 2,550 27,791,223 NUMBER OF SHARES 2,196,381,526 2,196,381,526 NUMBER OF	2,650 TOTAL TOTAL PAR/STATE 2,196,381,526	TOTAL P SUBSCRIBED P D VALUE 0.01	20,50 277,912,23 22,241,727,49 AMOUNT (PhP) 21,963,815.26	% OF OWNERSH 98.7
Foreign (Indian) Forcentage of Foreign (Indian) FOREIGN (INDICATE IN) NATIONALITY: Chross.	NO. OF STOCK HOLDERS NO. OF STOCK HOLDERS	TOTAL TYPE OF SHARES * COPRISE TOTAL TYPE OF SHARES *	6,220 27,791,223 27,791,223 NUMBER OF SHARES 2,196,201,526 2,196,381,526 NUMBER OF SHARES	TOTAL TOTAL PAR/STATE 2,196381526 PAR/STATE	TOTAL P SUBSCRIBED P ED VALUE TOTAL P ED VALUE D VALUE D VALUE D 001	20,50 277,912,23 22,241,727,49 AMOUNT (PMP) 21,963,815,36 21,963,815,26 AMOUNT (PMP) 196,729,99	% OF OWNERSH 98.7 % OF OWNERSH
FOREIGN (INDICATE II) FOREIGN (INDICATE III) APOLICA A	NO. OF STOCK HOLDERS NO. OF STOCK HOLDERS NO. OF STOCK HOLDERS	TOTAL TYPE OF SHARES * Control TOTAL TYPE OF SHARES * Control TOTAL TYPE OF SHARES *	6,220 27,791,223 27,791,223 NUMBER OF SHARES 2,196,281,526 NUMBER OF SHARES 14,679,036 5,213,949 4,223,035	2,650 TOTAL TOTAL PAR/STATI 2,196,381,526 PAR/STATI 14,679,096 5,213,599	TOTAL P SURSCRIBED P SUBSCRIBED P SUBSCRIPED P SUBSCRIPED P SUBSCRIBED P SUBSCRIPED P SUBSCRIP	20.50 277,912.23 22,241,727.49 AMOUNT (PhP) 21,963,815.26 AMOUNT (PhP) 196,299.99 52,279.49	% OF OWNERSH % OF OWNERSH .04 .0,2
FOREIGN (INDICATE IN NATIONALITY) (Direct. Arterists. Journal	NO. OF STOCK HOLDERS NO. OF STOCK HOLDERS 18. 22. 24. 25. 26. 27. 27. 27. 27. 27. 27. 27. 27. 27. 27	TOTAL TYPE OF SHARES * Connect TOTAL TYPE OF SHARES * Connect Connect	5,220 27,791,223 27,791,223 NUMBER OF SHARES 2,196,381,526 NUMBER OF SHARES 14,679,036 5,213,949 4,223,105 1,019,394	PAR/STATI 14679.996 5213.997 1,191.997	TOTAL P SUBSCRIBED P D VALUE 5.01 TOTAL P D VALUE 6.01 0.02 0.021	20,50 277,912,23 22,241,727,49 AMOUNT (PhP) 21,943,815,26 21,943,815,26 AMOUNT (PhP) 194,291,95 22,319,47 42,321,52 10,191,94	% OF OWNERSH VE.7
FOREIGN (INDICATE IIV NATIONALITY) CHOOSE AND CAPT FILIPINO FOREIGN (INDICATE IIV NATIONALITY) CHOOSE AND CAPT	NG. OF STOCK HOLDERS - 28.695 - 28.695 - 28.72 - 28.72 - 28.72 - 28.72	TOTAL TYPE OF SHARES* Common TOTAL TYPE OF SHARES* Common TOTAL TYPE OF SHARES* Common	5,220 27,791,223 NUMBER OF SHARES 2,196,381,526 NUMBER OF SHARES 14,273,049 4,223,105 1,012,044 667,135	PAR/STATI PAR/STATI 2,196,381,526 PAR/STATI 14,679,596 5,213,549 4,223,105 1,619,394	TOTAL P SUBSCRIBED P B VALUE B VALUE D VALUE D VALUE D 0.01 0.01 0.01 0.01	20,50 277,912,23 22,241,727,49 AMOUNT (PMP) 21,963,815,36 21,963,815,36 AMOUNT (FMP) 346,279,99 52,371,47 42,23,105 10,193,194 6,671,25	% OF OWNERSH 98.7 OWNERSH 98.7 OWNERSH 98.7 OWNERSH 9.4 O.3 O.3 O.3 O.4
FOREIGN (INDICATE IN) NATIONALITY (Original Arteria) FOREIGN (INDICATE III) NATIONALITY (Original Arteria) Arterias Georgies Maleories Georgies	NO. OF STOCK HOLDERS - 28.625 NO. OF STOCK HOLDERS - 28 28 29 29 39.	TOTAL TYPE OF SHARES * COTTON TOTAL TYPE OF SHARES * COTTON TOTAL TYPE OF SHARES * COTTON COT	5,220 27,791,223 NUMBER OF SHARES 2,196,381,526 NUMBER OF SHARES 14,679,096 5,21,1549 4,223,105 1,019,394 667,135 567,052	7,050 TOTAL TOTAL PAR/STATI 2,196,381,526 PAR/STATI 14,679,506 5,113,549 5,213,105 1,619,194 667,133 547,952	TOTAL P SUBSCRIBED P D VALUE 0.01 TOTAL P 0.01 0.02 0.03 0.03 0.03 0.03	20.50 277,912.23 22,241,727.49 AMOUNT (PhP) 21,963,815.26 AMOUNT (PhP) 196,729.59 52,371.49 42,231.05 10,191.91 4671.35 5,478,52	% OF OWNERSH 96.7
FOREIGN (INDICATE IN ACTION ACTION FOREIGN (INDICATE IN ACTIONALITY) (Direct Bullob Action A	NO. OF STOCK HOLDERS NO. OF STOCK HOLDERS 25.455 NO. OF STOCK HOLDERS 26. 18. 27. 27. 20. 30. 30. 30. 30. 30. 30. 30. 30. 30. 3	TOTAL TYPE OF SHARES* Control TOTAL TYPE OF SHARES* Control	6,220 27,791,223 27,791,223 NUMBER OF SHARES 2,196,381,526 NUMBER OF SHARES 14,679,096 5,213,949 4,223,105 1,012,394 667,135 5,67,235 5,67,235	PAR/STATI PAR/STATI 2,196,381,526 PAR/STATI 14,679,896 5,313,899 4,223,105 1,819,194 467,235 547,255 343,155	TOTAL P SUBSCRIBED P D VALUE D VALUE D 0.01 D 0.01 0.01 0.02 0.02 0.03 0.03	20.50 277,912.23 22,241,727.49 AMOUNT (PhP) 21,943,815.26 AMOUNT (PhP) 146,290.96 52,378.47 52,231.05 101,912.94 6.671.35 3.478.53 3.395.14	% OF OWNERSH 9E.7
Foreign Foreign Foreign Foreign Filipino Foreign Filipino Foreign Gindicate in Nationality Othere Brilish Apprint George Gorge Anticke Boulen Gorge Boulen Gorge Boulen Boulen Boulen Boulen Boulen Boulen Boulen Boulen Boulen	NO. OF STOCK HOLDERS - 28.625 NO. OF STOCK HOLDERS - 28 28 29 29 39.	TOTAL TYPE OF SHARES * COTTON TOTAL TYPE OF SHARES * COTTON TOTAL TYPE OF SHARES * COTTON COT	5,220 27,791,223 NUMBER OF SHARES 2,196,381,526 NUMBER OF SHARES 14,670,036 5,213,949 4,223,105 1,012,394 667,135 547,052 358,158	PAR/STATI 2,196,381,526 PAR/STATI 14,679,396 5,213,549 4,223,105 1,219,394 467,235 547,652 191,314 129,344	TOTAL P SUBSCRIBED P D VALUE 0.01 TOTAL P 0.01 0.02 0.03 0.03 0.03 0.03	20.50 277,912.23 22,241,727.49 AMOUNT (PhP) 21,963,815.26 AMOUNT (PhP) 196,729.59 52,371.49 42,231.05 10,191.91 4671.35 5,478,52	% OF OWNERSH 96.7 OWNERSH 96.7 OWNERSH 96.7 OWNERSH 9.4 O.3
FOREIGN (INDICATE IV NATIONALITY) Cyreer Brush Arerica Gerden Malorien Mal	NO. OF STOCK HOLDERS NO. OF STOCK HOLDERS 25.455 NO. OF STOCK HOLDERS 26. 18. 27. 27. 20. 30. 30. 30. 30. 30. 30. 30. 30. 30. 3	TOTAL TYPE OF SHARES* Control TOTAL TYPE OF SHARES* Control	6,220 27,791,223 27,791,223 NUMBER OF SHARES 2,196,381,526 NUMBER OF SHARES 14,679,096 5,213,949 4,223,105 1,012,394 667,135 5,67,235 5,67,235	PAR/STATI PAR/STATI 2,196,381,526 PAR/STATI 14,679,896 5,313,899 4,223,105 1,819,194 467,235 547,255 343,155	TOTAL P SUBSCRIBED P B VALUE 5.01 TOTAL P B VALUE 6.01 0.01 0.02 0.02 0.03 0.03 0.03	20,50 277,912,23 22,241,727,49 AMOUNT (PMP) 21,963,815,36 21,963,815,36 AMOUNT (FMP) 346,298,99 521,371,49 42,231,05 10,1931,99 6,671,25 3,478,52 3,298,19 1,273,49	% OF OWNERSH 98.7 9.4 9.4 9.4 9.4 9.4 9.4 9.4 9.4 9.4 9.4
Foreign Foreign Foreign Foreign Filipino Foreign Filipino Foreign Gindicate in Nationality Othere Brilish Apprint George Gorge Anticke Boulen Gorge Boulen Gorge Boulen Boulen Boulen Boulen Boulen Boulen Boulen Boulen Boulen	NO. OF STOCK HOLDERS NO. OF STOCK HOLDERS 25.455 NO. OF STOCK HOLDERS 26. 18. 27. 27. 20. 30. 30. 30. 30. 30. 30. 30. 30. 30. 3	TOTAL TYPE OF SHARES * Coppings TOTAL TYPE OF SHARES * Coppings Common Co	5,220 27,791,223 NUMBER OF SHARES 2,196,381,526 NUMBER OF SHARES 14,679,036 5,213,949 4,223,105 1,012,534 667,135 547,052 368,134 102,544 103,546 103,546 103,546 103,546 103,546 103,546	PAR/STATI 2,196,381,526 PAR/STATI 14,679,396 5,213,549 4,223,105 1,919,394 4,67,235 547,652 191,519 227,937 101,540	TOTAL P SUBSCRIBED P B VALUE B VALUE D VALUE	20,50 277,912,23 22,241,727,49 AMOUNT (PhP) 21,963,815,36 AMOUNT (PhP) .196,299,99 .52,197,49 .52,231,05 .19,191,99 .6671,35 .5478,52 .3,585,19 .1,278,37 .1,278,37 .1,278,37 .1,278,37 .1,195,59	% OF OWNERSH 98.7 98.7 98.7 98.7 98.7 98.7 98.7 98.7
FOREIGN (INDICATE IV NATIONALITY) (Orece, Brush Averian, Jessesse, Ave	NO. OF STOCK HOLDERS NO. OF STOCK HOLDERS 18. 22. 22. 23. 2. 2. 2. 2. 2. 2. 3. 3. 3. 3. 3. 4. 3. 4. 4. 4. 4. 4. 4. 4. 4. 4. 4. 4. 4. 4.	TOTAL TYPE OF SHARES * Common TOTAL TYPE OF SHARES * Common Comm	5,220 27,791,223 NUMBER OF SHARES 2,196,381,526 NUMBER OF SHARES 14,679,096 5,211,549 4,223,105 1,019,394 667,135 1,019,394 1,	2,650 TOTAL TOTAL PAR/STATI 2,196,381,526 PAR/STATI 14,679,506 5,113,549 5,413,552 1,619,194 667,195 1,719,519 1,719,194 1,719	TOTAL P SIRESCRIBED P SUPERING SUPERIN	20,50 277,912,23 22,241,727,49 AMOUNT (PhP) 21,963,015,16 21,963,015,26 AMOUNT (PhP) 196,279,96 521,279,49 42,231,05 10,191,99 5,671,25 5,479,52 3,285,19 1,291,491,49 1,291,491,49 1,291,491,49 1,291,491,49 1,291,491,49 1,291,4	% OF OWNERSH 98.7
For ETG N (INDICATE IV NATIONALITY) Chreev, British Avericat, Georgie Associat, Section Associat, Section Associat, Section Se	NO. OF STOCK HOLDERS NO. OF STOCK HOLDERS 18. 22. 22. 23. 2. 2. 2. 2. 2. 2. 3. 3. 3. 3. 3. 4. 3. 4. 4. 4. 4. 4. 4. 4. 4. 4. 4. 4. 4. 4.	TOTAL TYPE OF SHARES* Control TOTAL TYPE OF SHARES* Control	5,320 27,791,233 NUMBER OF SHARES 2,196,381,526 NUMBER OF SHARES 14,679,096 5,211,949 4,223,105 1,019,394 667,135 547,052 101,549 1227,937 101,549 669,077 26,527	PAR/STATI PAR/STATI 2,196,381,526 PAR/STATI 14,679,696 5,313,589 4,233,105 1,819,194 467,233 547,252 253,219 1,227,837 1,015,496 69,277 20,537	TOTAL P SUBSCRIBED P D VALUE D VALUE D 0.01 D 0.02 0.02 0.02 0.02 0.03 0.04	20,50 277,912,23 22,241,727,49 AMOUNT (PhP) 21,943,815,26 AMOUNT (PhP) 346,290,96 52,378,47 52,231,96 10,191,94 6,671,25 5,478,57 1,298,11 1,273,77 1,015,49 978,77 295,57	% OF OWNERSH 98.7 0WNERSH 94. 9.3 9.4 9.8 9.8 9.8 9.8 9.8 9.8 9.8 9.8 9.8 9.8
Foreign Foreign Foreign Foreign Filipin o Foreign Gindicate in Nationality Chrese Brish Americas Gorse Boatelin Boateli	NO. OF STOCK HOLDERS NO. OF STOCK HOLDERS 18. 22. 22. 23. 2. 2. 2. 2. 2. 2. 3. 3. 3. 3. 3. 4. 3. 4. 4. 4. 4. 4. 4. 4. 4. 4. 4. 4. 4. 4.	TOTAL TYPE OF SILARES * Continue TOTAL TYPE OF SILARES * Continue Cont	5,020 27,791,223 NUMBER OF SHARES 2,196,381,526 2,196,381,526 NUMBER OF SHARES 2,196,381,526 NUMBER OF SHARES 14,679,036 5,213,949 4,221,105 1,012,394 467,135 557,052 302,519 227,037 101,540 69,037 26,527 22,537	PAR/STATI 2,196,381,526 PAR/STATI 14,679,996 5,213,549 4,223,105 1,819,994 4,67,235 547,652 191,519 122,737 101,540 69,877 23,537	TOTAL P SURSCRIBED P TOTAL P 0.01 TOTAL P 0.01 0.02 0.02 0.02 0.02 0.02 0.02 0.02 0.02 0.02 0.02 0.03 0.03	20,50 277,912,23 22,241,727,49 AMOUNT (PMP) 21,963,015,26 AMOUNT (PMP) 196,299,99 551,378,49 552,371,49 56,71,35 5,478,52 3,365,19 1,278,37 1,288,37 1,288,37 1,288,37 1,288,37 1,288,37 1,388,37 1,388,37 1,388,388 1,388,38 1,388,38 1,388,38 1,388,38 1,388,38 1,388,38 1,388,388 1,388,38 1,388,38 1,388,38 1,388,38 1,388,38 1,388,38 1,388,388 1,388,38 1,388,38 1,388,38 1,388,38 1,388,38 1,388,38 1,388,388 1,388,38 1,388,38 1,388,38 1,388,38 1,388,38 1,388,38 1,388,388 1,388,38 1,388,38 1,388,38 1,388,38 1,388,38 1,388,38 1,388,3	% OF OWNERSH 98.7 98.7 98.7 98.7 98.7 98.7 98.7 98.7
FOREIGN (INDICATE IV) NATIONALITY (Orece, Brush Averian, Jeansey, Jeansey, Averian, Jeansey, Averian, Jeansey,	NO. OF STOCK HOLDERS NO. OF STOCK HOLDERS 18. 22. 22. 23. 2. 2. 2. 2. 2. 2. 3. 3. 3. 3. 3. 4. 3. 4. 4. 4. 4. 4. 4. 4. 4. 4. 4. 4. 4. 4.	TOTAL TYPE OF SHARES * Common TOTAL TYPE OF SHARES * Common	5,220 27,791,223 NUMBER OF SHARES 2,196,381,526 NUMBER OF SHARES 14,679,096 5,211,549 4,223,105 1,019,394 667,152 108,519 227,557 101,549 227,657 101,549 647,052 102,544 239,611 227,657 101,549 649,07 24,527 24,527	PAR/STATI 2,196,381,526 PAR/STATI 2,196,381,526 PAR/STATI 14,479,696 5,113,499 467,335 547,852 239,541 227,837 101,246 69,977 29,527 29,527 20,527	TOTAL P SIRESCRIBED P D VALUE TOTAL P 0.01 TOTAL P 0.02 0.03 0.04 0.05	20.50 277,912.23 22,241,727.49 AMOUNT (PhP) 21,963,015.26 AMOUNT (PhP) 246,279.96 521,279.49 42,231.05 10,191.99 5.671.25 3.595.19 1.271.49 2.298.11 2.278.37 2.198.49 2.298.11 2.278.37 2.298.12 2.288.77	% OF OWNERSH 98.7
FOREIGN FOREIGN FOREIGN FILIPINO FOREIGN FILIPINO FOREIGN (INDICATE IIV NATIONALITY) Chices. British Asserba. Josepha. J	NO. OF STOCK HOLDERS NO. OF STOCK HOLDERS 18. 22. 22. 23. 2. 2. 2. 2. 2. 2. 3. 3. 3. 3. 3. 4. 3. 4. 4. 4. 4. 4. 4. 4. 4. 4. 4. 4. 4. 4.	TOTAL TYPE OF SHARES* Control TOTAL TYPE OF SHARES* Control	5,320 27,791,233 NOMBER OF SHARES 2,196,381,526 NUMBER OF SHARES 14,679,096 5,211,949 4,223,105 1,019,394 667,135 547,052 101,549 667,135 1,019,394 667,135 1,019,394 667,135 1,019,394 1,019	PAR/STATI PAR/STATI 2,196,381,526 PAR/STATI 14,679,096 5,113,899 4,223,105 1,019,194 467,235 547,852 1,019,194 467,235 1,019,194 467,235 1,019,194 467,235 1,019,194 467,235 1,019,194 467,235 1,019,194 1	TOTAL P SUBSCRIBED P D VALUE D VALUE D 0.01 D 0.02 0.02 0.02 0.02 0.03 0.03 0.04	20,50 277,912,23 22,241,727,49 AMOUNT (PhP) 21,943,815,26 AMOUNT (PhP) 246,290,96 52,339,47 52,231,10 10,191,99 54,78,57 10,191,99 10,21,94 10,21,95 10,21	% OF OWNERSH 98.7 0WNERSH 94.7 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0
FOREIGN (INDICATE IV) NATIONALITY (Orece, Brush Averian, Jeansey, Jeansey, Averian, Jeansey, Averian, Jeansey,	NO. OF STOCK HOLDERS NO. OF STOCK HOLDERS 18. 22. 22. 23. 2. 2. 2. 2. 2. 2. 3. 3. 3. 3. 3. 4. 3. 4. 4. 4. 4. 4. 4. 4. 4. 4. 4. 4. 4. 4.	TOTAL TYPE OF SHARES * Common TOTAL TYPE OF SHARES * Common	5,220 27,791,223 NUMBER OF SHARES 2,196,381,526 NUMBER OF SHARES 14,679,096 5,211,549 4,223,105 1,019,394 667,152 108,519 227,557 101,549 227,657 101,549 647,052 102,544 239,611 227,657 101,549 649,07 24,527 24,527	PAR/STATI 2,196,381,526 PAR/STATI 2,196,381,526 PAR/STATI 14,479,696 5,113,499 467,335 547,852 239,541 227,837 101,246 69,977 29,527 29,527 20,527	TOTAL P SIRESCRIBED P D VALUE TOTAL P 0.01 TOTAL P 0.02 0.03 0.04 0.05	20.50 277,912.23 22,241,727.49 AMOUNT (PhP) 21,963,015.26 AMOUNT (PhP) 246,279.96 521,279.49 42,231.05 10,191.99 5.671.25 3.595.19 1.271.49 2.298.11 2.278.37 2.198.49 2.298.11 2.278.37 2.298.12 2.288.77	% OF OWNERSH 98.7 0WNERSH 94.7 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0
FOREIGN FOREIGN FOREIGN FILIPINO FOREIGN FILIPINO FOREIGN (INDICATE IIV NATIONALITY) Chices. British Asserba. Josepha. J	NO. OF STOCK HOLDERS NO. OF STOCK HOLDERS 18. 22. 22. 23. 2. 2. 2. 2. 2. 2. 3. 3. 3. 3. 3. 4. 3. 4. 4. 4. 4. 4. 4. 4. 4. 4. 4. 4. 4. 4.	TOTAL TYPE OF SHARES* Control TOTAL TYPE OF SHARES* Control	5,320 27,791,233 NOMBER OF SHARES 2,196,381,526 NUMBER OF SHARES 14,679,096 5,211,949 4,223,105 1,019,394 667,135 547,052 101,549 667,135 1,019,394 667,135 1,019,394 667,135 1,019,394 1,019	PAR/STATI PAR/STATI 2,196,381,526 PAR/STATI 14,679,096 5,113,899 4,223,105 1,019,194 467,235 547,852 1,019,194 467,235 1,019,194 467,235 1,019,194 467,235 1,019,194 467,235 1,019,194 467,235 1,019,194 1	TOTAL P SUBSCRIBED P D VALUE D VALUE D 0.01 D 0.02 0.02 0.02 0.02 0.03 0.03 0.04	20,50 277,912,23 22,241,727,49 AMOUNT (PhP) 21,943,815,26 AMOUNT (PhP) 246,290,96 52,339,47 52,231,10 10,191,99 54,78,57 10,191,99 10,21,94 10,21,95 10,21	% OF OWNERSH 98.7 0WNERSH 98.7 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0

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Courseo, Preferred or other classification
 Other than Directors, Officers, Shareholders owning, 10% of substanting thares.

STOCK CORPORATION

	DIRI	ECTOR	S / OI	FICER	S			
NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R		GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATIO NUMBER
BENEDICTO C. SISON		N	С		Y	Chairman	CG/M	
2. VALERIE N. PAMA	-	N	м		Y	President	N.A.	-
ALELI ANGELA G. QUIRINO		N	ī		Y	N	A&C/M & CG/C	_
OSCAR S. REYES	-	N	ı		Υ	N	A&C/M and CG/M	_
S. CIELITO F. HABITO		N	1		Y	N	A&C/C	_
. MA. JEMILYN S. CAMANIA		N	N		N	Compliance Officer, Data Protection Officer & Money Laundering Reporting Officer	N.A.	
IEANEMAR S. TALAMAN		N	N		N	Treasurer	N.A.	
. ANNA KATRINA C. KABIGTING-IBERO		N	N		N	Corporate Secretary	N.A.	
FRANCES IANNA S. CANTO		N	N		N	Asst. Corporate Secretary	N.A.	
0. nothing follows								
1.								
2.								
3.								
4.								
5.								

INSTRUCTION:

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.

FOR BOARD COLUMN, PUT "C" FOR CHARMAN, "M" FOR MEMBER, "T FOR INDEPENDENT DIRECTOR. FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.

FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.

FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPILIANCE OFFICER AND/OR ASSOCIATED PERSON.

FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION CIS. STONE (LEGITION COMMITTEE, ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

PAGE

STOCK CORPORATION

		PLEASE PRIN	T LEGIBLY =====			******
CORPORATE NAME:	SUN LIFE O	F CANADA PI	ROSPERITY BALA	NCED FUN	D, INC.	
TOTAL NUMBER OF STOCKHOLDERS:	26,866		NO. OF STOCKHOLDE SHARES EACH:	26,846		
TOTAL ASSETS BASED ON LATEST AUDITED FIN	ANCIAL STATES	MENTS:	PHP 8,212,968,707			
	STO	CKHOLDER'S	INFORMATION			
		SHARES	SUBSCRIBED		AMOUNT	
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	TYPE NUMBER		AMOUNT (PhP)	% OF OWNER- SHIP	AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
1 BENEDICTO C. SISON	common	1	0.01			
				0.00%	0.01	
	P		0.01	0.50 /4		
	TOTAL	1	1100000			
2. VALERIE N. PAMA	common	- 1	0.01			
				0.00%	0.01	
	TOTAL	1	0.01			
A ALMA ANGRA A C GUIDDING		- 1	0.01			_
3. ALELI ANGELA G. QUIRINO	common		5.01			
	-			0.00%	0.01	
	TOTAL	1	0.01	8 1		
4. OSCAR S. REYES	common	1	0.01			-
Company and the second second second				V		
				0.00%	0.01	
	TOTAL	1	0.01			
5. CIELITO F. HABITO	common	1	0.01			
				0.00%	0.01	
				0.0099	0.01	
	TOTAL	1		1		
6. OTHERS	common	2,224,172,744	22,241,727.44			
				100.00%	22,241,727.44	
*List of Top 20 shareholders submitte separately (confidential) to the SEC-CGFD of		2,224,172,744	22,241,727.44	120000000		
11 August 2022	TOTAL	4,044,014,014,	32424372737			
7. nothing follows						
TOTAL AMOUNT O	F SUBSCRIBE	D CAPITAL	22,241,727.49			
			UNT OF PAID-UP CA	APITAL	22	,241,727.49

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

GIS_STOCK (v.2020) Page 5

STOCK CORPORATION

		DI FACE DRIV	77.10.00			
***************************************	Chrystel Wy Calls		ROLL SUPPLIES TO SELECT AND ADDRESS OF THE SELECT ADDRESS OF THE SELECT AND ADDRESS OF THE SELECT ADDRESS OF THE SELECT AND ADDRESS OF THE SELECT AND ADDRESS OF THE SELECT ADDRESS OF THE SELECT AND ADDRESS OF THE SELECT AND AD	CONTRACTOR CONTRACTOR	Section Continues (CCC)	
CORPORATE NAME:	SUN LIFE	OF CANADA P	ROSPERITY BAL			
TOTAL NUMBER OF STOCKHOLDERS:			NO. OF STOCKHOLI SHARES EACH:	DERS WITH 10	0 OR MORE	
TOTAL ASSETS BASED ON LATEST AUDITED FINA	ANCIAL STAT	EMENTS:				
	ST	OCKHOLDER'S	INFORMATION			
		SHARES	SUBSCRIBED		AMOUNT	
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER- SHIP	PAID (PhP)	TAX IDENTIFICATION NUMBER
8.						
				- 1		
				1		
9.						
				-		
10.		()				
	-			-		
11.						
				-		
12.				+		
	-			1		
				1		
13.				-		
				1		
14.						
				-		
				1		
TOTAL AMOUNT OF	F SUBSCRIB	ED CAPITAL				
1700-RoundManu/		TOTAL AMO	OUNT OF PAID-UP	CAPITAL		

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial awners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

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STOCK CORPORATION

		PLEASE PRI	NT LEGIBLY ====	********		
CORPORATE NAME:			ROSPERITY BAL			
TOTAL NUMBER OF STOCKHOLDERS:	NO. OF STOCKHOLI SHARES EACH:	TOCKHOLDERS WITH 100 OR MORE EACH:				
TOTAL ASSETS BASED ON LATEST AUDITED FIN	ANCIAL STATI	EMENTS:				
	ST	OCKHOLDER'S	INFORMATION			m
		SHARES	SUBSCRIBED		AMOUNT	
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	TYPE NUMBER		AMOUNT (PhP)	% OF OWNER- SHIP	PAID (PhP)	TAX IDENTIFICATION NUMBER
15.						
16.						
17.						
18.						
19.						
20.						
21.						
TOTAL AMOUNT O	F SUBSCRIBI	ED CAPITAL				
		TOTAL AMO	DUNT OF PAID-UP	CAPITAL		

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Atlach separate sheet, if necessary.

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STOCK CORPORATION

1. INVESTMENT OF CO	TELETONIC		AMOUNT ((PhP)		DATE OF BOARD RESOLUTION		
1.1 STOCKS				4,4	96,791,820.79	N.A.		
1.2 BONDS/COMMI	ERCIAL PAPER (Issued		1,983,939,393.37				N.A.	
1.3 LOANS/ CREDIT			308,714,495.30				N.A.	
1.4 GOVERNMENT	TREASURY BILLS		N.A. N.J			N.A.		
1.5 OTHERS				2	00.000,000,00		N.A.	
	NVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UP ECONDARY PURPOSES (PLEASE SPECIFY:)				DATE OF BOA	IN	DATE OF STOCKHOLDERS RATIFICATION	
				N.A.		N.A.		
3. TREASURY SHARES			NO. OF SHARES		% AS TO THE TOTAL NO. OF SHARES ISSUED			
		Г	2,613,631,203		54.03%			
4. UNRESTRICTED/UN	APPROPRIATED RETAIN	ED EARNI	NGS AS OF END	OF L	AST FISCAL YE	AR: N.A.		
5. DIVIDENDS DECLAR	ED DURING THE IMMEDI	ATELY PR					9745000000000000000000000000000000000000	
TYP	E OF DIVIDEND		AMOUNT (PhP)			-	DATE DECLARED	
5.1 CASH				N.A.			N.A.	
5.2 STOCK			N.A.				N.A.	
5.3 PROPERTY			-		N.A.		N.A.	
ADDITIONAL SHARE	S ISSUED DURING THE P	TOTAL ERIOD: N	P	_		-		
DATE	_	SHARES					NT	
SECONDARY LICENSE/E	REGISTRATION WITH SEC	CAND OT	HER GOV'T AGE	NCY				
NAME OF AGENCY:	SEC		BSP				IC	
TYPE OF LICENSE/REGN.	Open-End Investment C	ompany	N.A.				N.A.	
DATE ISSUED:	21-Dec-99							
DATE STARTED OPERATIONS:	1-May-00							
TOTAL ANNUAL COMPENSATION OF			NO. OF OFFICERS TOTAL NO. OF			TOTAL MANPOWER COMPLEMENT		
375,490			6 0		0			

NOTE: USE ADDITIONAL SHEET IF NECESSARY

I, ANNA KATRINA C. KABIGTING-IBERO Corporate Secretary of SUN LIFE OF CANADA PROSPERITY BALANCED FUND. INC., declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC.

I understand that the Commission may place the corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (Section 177, RA No. 11232).

Done this date and place indicated below.			
		The state of the s	KABIGTING-IBERO ver printed name)
SUBSCRIBED AND SWORN TO before me in	PASAY CITY	2 9 SEP 2022	by affiant who
personally appeared before me and exhibited September 1, 2032.	to me her Driver'		valid until

NOTARY PUBLIC

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Page No. 76
Book No. 77

Series of 2022 Pull No. 45790 / IB

Appointment No. M-66 / Until 12-31-2022 Pull No. 45790 / IBP Lifetime No. 04897 / 7-3-03 PTR O.R. No. 957-1012 / 1-4-22 / Pasay City MCLF No. VI-0019565 / 01-14-19