



SECURITIES AND EXCHANGE COMMISSION

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The following document has been received:

Receiving: Mark Anthony Oseña

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Company Information

SEC Registration No.: CS201215846

Company Name: SUN LIFE PROSPERITY DYNAMIC FUND, INC.

Industry Classification: J67020

Company Type: Stock Corporation

Document Information

Document ID: OST1031520228255528

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Period Covered: July 14, 2021

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Remarks: None

AMENDED GENERAL INFORMATION SHEET (GIS)

FOR THE YEAR **2021**
STOCK CORPORATION

GENERAL INSTRUCTIONS:

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. DO NOT LEAVE ANY ITEM BLANK. WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE
6. ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

***** PLEASE PRINT LEGIBLY *****

CORPORATE NAME: SUN LIFE PROSPERITY DYNAMIC FUND, INC.		DATE REGISTERED: 1/16/2017	
BUSINESS/TRADE NAME: SUN LIFE PROSPERITY DYNAMIC FUND, INC.		FISCAL YEAR END: December 31	
SEC REGISTRATION NUMBER: CS201215846		CORPORATE TAX IDENTIFICATION NUMBER (TIN): 008-357-526-000	
DATE OF ANNUAL MEETING PER BY-LAWS: EVERY FOURTH WEDNESDAY OF JUNE		WEBSITE/URL ADDRESS: www.sunlife.com.ph	
ACTUAL DATE OF ANNUAL MEETING: 14 July 2021		E-MAIL ADDRESS: AnnaKatrina.Kabigting-lbero@sunlife.com	
COMPLETE PRINCIPAL OFFICE ADDRESS: SUN LIFE CENTRE, 5TH AVENUE CORNER RIZAL DRIVE, BONIFACIO GLOBAL CITY, 1634 TAGUIG CITY		FAX NUMBER: (632) 8849 9727	
COMPLETE BUSINESS ADDRESS: SUN LIFE CENTRE, 5TH AVENUE CORNER RIZAL DRIVE, BONIFACIO GLOBAL CITY, 1634 TAGUIG CITY	OFFICIAL E-MAIL ADDRESS sunlife_sec_communications@sunlife.com	ALTERNATE E-MAIL ADDRESS sunlife_sec_communications2@sunlife.com	OFFICIAL MOBILE NUMBER 0999 - 9917178
NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER: NAVARRO AMPER & CO. / DELOITTE TOUCHE TOHMATSU - JOEFFREY MARK FERRER	SEC ACCREDITATION NUMBER (if applicable): 0001-FR-5	ALTERNATE MOBILE NUMBER 0917-8024021	
PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN: INVESTMENT COMPANY	INDUSTRY CLASSIFICATION: N.A.	TELEPHONE NUMBER(S): (632) 8555 8888	
GEOGRAPHICAL CODE: N.A.			

***** INTERCOMPANY AFFILIATIONS *****

PARENT COMPANY	SEC REGISTRATION NO.	ADDRESS
SUN LIFE OF CANADA (PHILIPPINES), INC.	A199911619	2/F SUN LIFE CENTRE 5TH AVE. COR. RIZAL AVE. BONIFACIO GLOBAL CITY TAGUIG CITY
SUBSIDIARY/AFFILIATE	SEC REGISTRATION NO.	ADDRESS
SUN LIFE ASSET MANAGEMENT COMPANY, INC.	A199918034	SUN LIFE CENTRE, 5TH AVE. COR. RIZAL DRIVE, BONIFACIO GLOBAL CITY, TAGUIG CITY
SUN LIFE FINANCIAL PLANS, INC.	A200014495	SUN LIFE CENTRE, 5TH AVE. COR. RIZAL DRIVE, BONIFACIO GLOBAL CITY, TAGUIG CITY

NOTE: USE ADDITIONAL SHEET IF NECESSARY

AMENDED GENERAL INFORMATION SHEET STOCK CORPORATION ===== PLEASE PRINT LEGIBLY =====	
Corporate Name: SUN LIFE PROSPERITY DYNAMIC FUND, INC.	
A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365) <input checked="" type="radio"/> Yes <input type="radio"/> No	
Please check the appropriate box:	
1. <input type="checkbox"/> a. Banks <input type="checkbox"/> b. Offshore Banking Units <input type="checkbox"/> c. Quasi-Banks <input type="checkbox"/> d. Trust Entities <input type="checkbox"/> e. Non-Stock Savings and Loan Associations <input type="checkbox"/> f. Pawnshops <input type="checkbox"/> g. Foreign Exchange Dealers <input type="checkbox"/> h. Money Changers <input type="checkbox"/> i. Remittance Agents <input type="checkbox"/> j. Electronic Money Issuers <input type="checkbox"/> k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.	4. <input type="checkbox"/> Jewelry dealers in precious metals, who, as a business, trade in precious metals
2. <input type="checkbox"/> a. Insurance Companies <input type="checkbox"/> b. Insurance Agents <input type="checkbox"/> c. Insurance Brokers <input type="checkbox"/> d. Professional Reinsurers <input type="checkbox"/> e. Reinsurance Brokers <input type="checkbox"/> f. Holding Companies <input type="checkbox"/> g. Holding Company Systems <input type="checkbox"/> h. Pre-need Companies <input type="checkbox"/> i. Mutual Benefit Association <input type="checkbox"/> j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)	5. <input type="checkbox"/> Jewelry dealers in precious stones, who, as a business, trade in precious stone 6. Company service providers which, as a business, provide any of the following services to third parties: <input type="checkbox"/> a. acting as a formation agent of juridical persons <input type="checkbox"/> b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons <input type="checkbox"/> c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement <input type="checkbox"/> d. acting as (or arranging for another person to act as) a nominee shareholder for another person
3. <input type="checkbox"/> a. Securities Dealers <input type="checkbox"/> b. Securities Brokers <input type="checkbox"/> c. Securities Salesman <input type="checkbox"/> d. Investment Houses <input type="checkbox"/> e. Investment Agents and Consultants <input type="checkbox"/> f. Trading Advisors <input type="checkbox"/> g. Other entities managing Securities or rendering similar services <input checked="" type="checkbox"/> h. Mutual Funds or Open-end Investment Companies <input type="checkbox"/> i. Close-end Investment Companies <input type="checkbox"/> j. Common Trust Funds or Issuers and other similar entities <input type="checkbox"/> k. Transfer Companies and other similar entities <input type="checkbox"/> l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on <input type="checkbox"/> m. Entities administering of otherwise dealing in valuable objects <input type="checkbox"/> n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)	7. Persons who provide any of the following services: <input type="checkbox"/> a. managing of client money, securities or other assets <input type="checkbox"/> b. management of bank, savings or securities accounts <input type="checkbox"/> c. organization of contributions for the creation, operation or management of companies <input type="checkbox"/> d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities 8. <input type="checkbox"/> None of the above
B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS?	<input checked="" type="radio"/> Yes <input type="radio"/> No Describe nature of business: Investment Company

AMENDED GENERAL INFORMATION SHEET
STOCK CORPORATION

PLEASE PRINT LEGIBLY

CORPORATE NAME:		SUN LIFE PROSPERITY DYNAMIC FUND, INC.					
CAPITAL STRUCTURE							
AUTHORIZED CAPITAL STOCK							
	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP) (No. of shares X Par/Stated Value)			
	Common	5,200,000.000	0.01	52,000,000.00			
TOTAL		5,200,000.000	TOTAL P	52,000,000.00			
SUBSCRIBED CAPITAL							
FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	2,087	common	966,537,520	966,537,520	0.01	9,665,375.20	67.31%
TOTAL			966,537,520	TOTAL	TOTAL P	9,665,375.20	67.31%
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
American	3	common	218,102	218,102	0.01	2,181.02	0.02%
British	1	common	1,069,290	1,069,290	0.01	10,692.90	0.07%
Canadian	2	common	107,532	107,532	0.01	1,075.32	0.01%
Chinese	29	common	15,077,174	15,077,174	0.01	150,771.74	1.05%
Dutch	1	common	451,789,223	451,789,223	0.01	4,517,892.23	31.46%
Japanese	1	common	53,781	53,781	0.01	537.81	0.00%
Malaysian	1	common	268,528	268,528	0.01	2,685.28	0.02%
Norwegian	2	common	241,054	241,054	0.01	2,410.54	0.02%
Singaporean	4	common	564,920	564,920	0.01	5,649.20	0.04%
Percentage of Foreign Equity :		TOTAL	469,389,604	TOTAL	TOTAL P	4,693,896.04	32.69%
						TOTAL SUBSCRIBED P	14,359,271.24
PAID-UP CAPITAL							
FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP	
	2,087	common	966,537,520	0.01	9,665,375.20	67.31%	
TOTAL			966,537,520	TOTAL P	9,665,375.20	67.31%	
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP	
American	3	common	218,102	0.01	2,181.02	0.02%	
British	1	common	1,069,290	0.01	10,692.90	0.07%	
Canadian	2	common	107,532	0.01	1,075.32	0.01%	
Chinese	29	common	15,077,174	0.01	150,771.74	1.05%	
Dutch	1	common	451,789,223	0.01	4,517,892.23	31.46%	
Japanese	1	common	53,781	0.01	537.81	0.00%	
Malaysian	1	common	268,528	0.01	2,685.28	0.02%	
Norwegian	2	common	241,054	0.01	2,410.54	0.02%	
Singaporean	4	common	564,920	0.01	5,649.20	0.04%	
32.69%	TOTAL		469,389,604	TOTAL P	4,693,896.04	32.69%	
						TOTAL PAID-UP P	14,359,271.24

NOTE: USE ADDITIONAL SHEET IF NECESSARY

* Common, Preferred or other classification

** Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

AMENDED GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

CORPORATE NAME: SUN LIFE PROSPERITY DYNAMIC FUND, INC.								
DIRECTORS / OFFICERS								
NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
1. BENEDICTO C. SISON [REDACTED]	[REDACTED]	N	C	[REDACTED]	Y	Chairman	CG/M	[REDACTED]
2. VALERIE N. PAMA [REDACTED]	[REDACTED]	Y	M	[REDACTED]	Y	President	N.A.	[REDACTED]
3. CESAR LUIS F. BATE [REDACTED]	[REDACTED]	N	I	[REDACTED]	Y	N	A&C/M	[REDACTED]
4. ALELI ANGELA G. QUIRINO [REDACTED]	[REDACTED]	Y	I	[REDACTED]	Y	N	A&C/M and CG/C	[REDACTED]
5. OSCAR S. REYES [REDACTED]	[REDACTED]	Y	I	[REDACTED]	Y	N	A&C/C and CG/M	[REDACTED]
6. MA. JEMILYN S. CAMANIA [REDACTED]	[REDACTED]	N	N	[REDACTED]	N	Compliance Officer, Data Protection Officer & Money Laundering Reporting Officer	N.A.	[REDACTED]
7. SHERWIN S. SAMPANG [REDACTED]	[REDACTED]	N	N	[REDACTED]	N	Treasurer	N.A.	[REDACTED]
8. ANNA KATRINA C. KABIGTING-IBERO [REDACTED]	[REDACTED]	N	N	[REDACTED]	N	Corporate Secretary	N.A.	[REDACTED]
9. FRANCES IANNA S. CANTO [REDACTED]	[REDACTED]	N	N	[REDACTED]	N	Asst. Corporate Secretary	N.A.	[REDACTED]
10. nothing follows								
11.								
12.								
13.								
14.								
15.								

INSTRUCTION:
 FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.
 FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.
 FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.
 FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.
 FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.
 FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND DISCIPLINE COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

AMENDED GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====						
CORPORATE NAME:		SUN LIFE PROSPERITY DYNAMIC FUND, INC.				
TOTAL NUMBER OF STOCKHOLDERS:	2,131	NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:		2,125		
TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:		PHP 1,359,242,846				
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED			% OF OWNERSHIP	AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)			
1. BENEDICTO C. SISON	common	1	0.01	0.00%	0.01	
	TOTAL	1	0.01			
2. CESAR LUIS F. BATE	common	1	0.01	0.00%	0.01	
	TOTAL	1	0.01			
3. OSCAR S. REYES	common	1	0.01	0.00%	0.01	
	TOTAL	1	0.01			
4. ALELI ANGELA G. QUIRINO	common	1	0.01	0.00%	0.01	
	TOTAL	1	0.01			
5. VALERIE N. PAMA	common	1	0.01	0.00%	0.01	
	TOTAL	1	0.01			
6. SUN LIFE OF CANADA (PHILIPPINES), INC. Dutch 2/F Sun Life Centre 5th Avenue cor. Rizal Drive, Bonifacio Global City, Taguig City	common	451,789,223	4,517,892.23	31.46%	4,517,892.23	204-962-522
	TOTAL	451,789,223	4,517,892.23			
7. OTHERS *List of Top 20 shareholders submitted separately (confidential) to the SEC-CGFD on 11 August 2021.		984,137,896	9,841,378.96	68.54%	9,841,378.96	
	TOTAL	984,137,896	9,841,378.96			
TOTAL AMOUNT OF SUBSCRIBED CAPITAL			14,359,271.24			
			TOTAL AMOUNT OF PAID-UP CAPITAL	14,359,271.24		

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

AMENDED GENERAL INFORMATION SHEET
STOCK CORPORATION

----- PLEASE PRINT LEGIBLY -----			
CORPORATE NAME: SUN LIFE PROSPERITY DYNAMIC FUND, INC.			
1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION	AMOUNT (PhP)	DATE OF BOARD RESOLUTION	
1.1 STOCKS	1,119,777,701.00	N.A.	
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)	91,282,695.00	N.A.	
1.3 LOANS/ CREDITS/ ADVANCES	N.A.	N.A.	
1.4 GOVERNMENT TREASURY BILLS	N.A.	N.A.	
1.5 OTHERS	N.A.	N.A.	
2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)	DATE OF BOARD RESOLUTION	DATE OF STOCKHOLDERS RATIFICATION	
N.A.	N.A.	N.A.	
3. TREASURY SHARES	NO. OF SHARES	% AS TO THE TOTAL NO. OF SHARES ISSUED	
	2,005,331,847	58.27%	
4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR: 0			
5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR: 0			
TYPE OF DIVIDEND	AMOUNT (PhP)	DATE DECLARED	
5.1 CASH	N.A.	N.A.	
5.2 STOCK	N.A.	N.A.	
5.3 PROPERTY	N.A.	N.A.	
TOTAL			P
6. ADDITIONAL SHARES ISSUED DURING THE PERIOD: N.A.			
DATE	NO. OF SHARES	AMOUNT	
SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:			
NAME OF AGENCY:	SEC	B S P	I C
TYPE OF LICENSE/REGN.	Certificate of Incorporation/Registration	N.A.	N.A.
DATE ISSUED:	31-Aug-12	N.A.	N.A.
DATE STARTED OPERATIONS:	04 October 2013	N.A.	N.A.
TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)	TOTAL NO. OF OFFICERS	TOTAL NO. OF RANK & FILE EMPLOYEES	TOTAL MANPOWER COMPLEMENT
314,615.00	6	0	0
NOTE: USE ADDITIONAL SHEET IF NECESSARY			

I, **ANNA KATRINA C. KABIGTING-IBERO**, Corporate Secretary of **SUN LIFE PROSPERITY DYNAMIC FUND, INC.** declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC.

I understand that the Commission may place the corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (*Section 177, RA No. 11232*).

Done this date and place indicated below.



ANNA KATRINA C. KABIGTING-IBERO
(Signature over printed name)

PASAY CITY

15 MAR 2022

SUBSCRIBED AND SWORN TO before me in _____ on _____ by affiant who personally appeared before me and exhibited to me her Driver's License with no. _____ valid until 01 September 2022.

NOTARY PUBLIC



ATTY. JULES R. MILAN
Notary Public
Appointment No. M-66 / Until 12-31-2022
Roll No. 45 / 90 / MBP Lifetime No. 04397 / 7-3-03
PTR O.R. No. 8531012 / 1-4-22 / Pasay City
MCLE No. VI-0016565 / 01-14-19

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