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Receipt Date and Time: March 15, 2022 05:07:37 PM

# Company Information

SEC Registration No.: A200202061

Company Name: SUN LIFE PROSPERITY DOLLAR ADVANTAGE FUND INC.

Industry Classification: J66930 Company Type: Stock Corporation

# **Document Information**

Document ID: OST1031520228255495

**Document Code: GIS** 

Period Covered: July 14, 2021

Submission Type: Amendment, Annual Meeting

Document Type: General Information Sheet

Remarks: None

### FOR THE YEAR 2021

### STOCK CORPORATION

### GENERAL INSTRUCTIONS:

- I. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNIAL STOCKHOLDERS MEETING. DO NOT LEAVE ANY ITEM BLANK, WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNIAL STOCKHOLDERS MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS MEETING.
- IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
- 3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE CORPORATION.
- 4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMETTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE. BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL HE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURED OR BECAME EFFECTIVE.
- SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES.
  ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE.
- 6. ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.
- THIS GIS MAY HE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

*********	PLEASE PRINT LEGIBLY		****	
CORPORATE NAME: SUN LI	DATE REGISTERED: 2/13/2002			
BUSINESS/TRADE NAME: SUN LI	FISCAL YEAR END:			
SEC REGISTRATION NUMBER:	A200202061		December 31	
DATE OF ANNUAL MEETING PER BY-LA	ws:		CORPORATE TAX IDENTIFICATION NUMBER (TIN)	
	EVERY FOURTH WEDNESDAY OF MAY		216-214-876-000	
ACTUAL DATE OF ANNUAL MEETING:			WEBSITE/URL ADDRESS:	
	14 July 2021		www.surlifo.com.ph	
COMPLETE PRINCIPAL OFFICE ADDRES	S:		E-MAJL ADDRESS:	
SUN LIFE CENTRE, 5TH AVE	NUE CORNER RIZAL DRIVE, BONIFACIO GLOBA	L CITY, 1634 TAGUIG CITY	AnnaKatrina.Kabigting- lbero@sunlife.com	
COMPLETE BUSINESS ADDRESS:			FAX NUMBER	
SUN LIFE CENTRE, 5TH AVE	NUE CORNER RIZAL DRIVE, BONIFACIO GLOBA	L CITY, 1634 TAGUIG CITY	(632) 8849 9727	
OFFICIAL E-MAIL ADDRESS	ALTERNATE E-MAIL ADDRESS	OFFICIAL MOBILE NUMBER	ALTERNATE MOBILE NUMBE	
surlife_sec_communications@surlife.com	surlife_sec_communications2@surlife.com	0999 - 9917178	0917-8024021	
NAME OF EXTERNAL AUDITOR & ITS SE	ONING PARTNER:	SEC ACCREDITATION NUMBER (If applicab	de): TELEPHONE NUMBER(5):	
NAVARRO AMPER & CO. / DELOTTTE TO	OCHE TOHMATSU - JOEFFREY MARK FERRER	0001-FR-S	(632) 8555 8888	
PRIMARY PURPOSE/ACTIVITY/INDUST	RY PRESENTLY ENGAGED IN:	INDUSTRY CLASSIFICATION:	GEOGRAPHICAL CODE:	
INVESTMENT COMPANY		N.A.	NA.	
***************************************	********** INTERCOMPANY AFFILIATION	ONS ************************************		
PARENT COMPANY	SEC REGISTRATIO	NO.	ADDRESS	
N.A N.A			N.A	
SUBSIDIARY/AFFILIA	TE SEC REGISTRATIO	NO.	ADDRESS	
N.A.	XA		N.A	
	NOTE: USE ADDITIONAL SHEET II	NECESSARY		

AMENDED GENERAL INFORMA	ATION SHEET
STOCK CORPORATI	ION
PLEASE PRINT LEGI	IBLY ======
	DOLLAR ADVANTAGE FUND, INC.
A. Is the Corporation a covered person under the Anti Mone (AMLA), as amended? (Rep. Acts. 9160/9164/10167/103	ey Laundering Act  Yes  No
Please check the appropriate box:	-
1.	
☐ a. Banks ☐ b. Offshore Banking Units ☐ c. Quasi-Banks ☐ d. Trust Entities	4.   Jewelry dealers in precious metals, who, as a business, trade in precious metals
e. Non-Stock Savings and Loan Associations f. Pawnshops g. Foreign Exchage Dealers h. Money Changers i. Remittance Agents j. Electronic Money Issuers	5. Jewelry dealers in precious stones, who, as a business, trade in precious stone
<ul> <li>k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.</li> <li>2.</li> </ul>	Company service providers which, as a business,  6. provide any of the following services to third parties:
a. Insurance Companies	
b. Insurance Agents c. Insurance Brokers d. Professional Reinsurers e. Reinsurance Brokers f. Holding Companies g. Holding Company Systems	<ul> <li>a. acting as a formation agent of juridical persons</li> <li>b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons</li> </ul>
h. Pre-need Companies i. Mutual Benefit Association j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)  3.	<ul> <li>c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement</li> </ul>
a. Securities Dealers	d. acting as (or arranging for another person to act
b. Securities Brokers	as) a nominee shareholder for another person
c. Securities Salesman	Persons who provide any of the following
C. Securities Salesman	7. services:
d. Investment Houses e. Investment Agents and Consultants f. Trading Advisors g. Other entities managing Securities or rendering similar services h. Mutual Funds or Open-end Investment Companies i. Close-end Investment Companies j. Common Trust Funds or Issuers and other similar entities	a. managing of client money, securities or other assets  b. management of bank, savings or securities accounts  c. organization of contributions for the creation, operation or management of companies
k. Transfer Companies and other similar entities  L. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on	<ul> <li>d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities</li> </ul>
<ul> <li>m. Entities administering of otherwise dealing in valuable objects</li> </ul>	8. None of the above
<ul> <li>n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)</li> </ul>	Describe Investment Company nature of business:
B. Has the Corporation complied with the requirements on Custo (CDD) or Know Your Customer (KYC), record-keeping, and sub under the AMLA, as amended, since the last filing of its GIS?	

GIS\_STOCK(v.2020)

STOCK CORPORATION

CORPORATE NAME: SUN LIFE PROSPERITY DOLLAR ADVANTAGE FUND, INC. CAPITAL STRUCTURE AUTHORIZED CAPITAL STOCK AMOUNT (USD) NUMBER OF TYPE OF SHARES \* PAR/STATED VALUE (No. of shares X Par/Stated Value) SHARES 33,200,000.00 Common 33,200,000 1.00 TOTAL P 33,200,000.00 TOTAL 33.200.000 SUBSCRIBED CAPITAL NUMBER OF NO. OF PAR/STATED NUMBER OF SHARES IN THE % OF TYPE OF SHARES \* AMOUNT (USD) FILIPINO STOCK-OWNERSHIP SHARES HANDS OF THE VALUE HOLDERS PUBLIC \*\* 99.00% 8,007,087 8,007,087 1.00 8,007,087.00 1.882 Common TOTAL 8,007,087 TOTAL TOTAL P 8,007,087.00 NUMBER OF FOREIGN NO. OF 56 OF PAR/STATED NUMBER OF SHARES IN THE (INDICATE BY STOCK-TYPE OF SHARES \* AMOUNT (USD) OWNERSHIP HANDS OF THE SHARES VALUE HOLDERS NATIONALITY) PUBLIC \*\* 4,474 4474 4,474.0 0.06% 1.00 American Common 20,656 20,656 1.00 Asistralian Common 15.736 15,736 15,736 0.1990 1.00 British Common Canadian Common 1,955 1,955 1.00 1,955 0.029 28,823 28,823 1.00 28,823 0.369 Chinese Common 104 104 1.00 104 0.00% Dutch Common 0.029 1.00 1,424 Indian Common 1,424 1,424 950 0.01% 950 950 1.00 Japanese Common Singaporean Common 3,743 3,743 1.00 3,743 0.059 3,086 3,086 1.00 3,086 0.04% 5wedish Cammon 1.00 266 0.00% 266 266 Common 81,217,00 TOTAL 81,217 TOTAL TOTAL. Percentage of Foreign Equity: p TOTAL SUBSCRIBED P 8,088,304.00 PAID-UP CAPITAL NO. OF NUMBER OF % OF PAR/STATED VALUE FILIPINO TYPE OF SHARES \* AMOUNT (USD) OWNERSHIP SHARES HOLDERS 8,007,087.00 99.00% 8,007,087 1.882 Common 8,007,087.00 8,007,087 TOTAL P TOTAL FOREIGN NO. OF NUMBER OF % OF TYPE OF SHARES \* PAR/STATED VALUE AMOUNT (USD) STOCK-(INDICATE BY OWNERSHIP SHARES HOLDERS NATIONALITY) 4,474.00 0.06% 4,474 American Common 1.00 0.26% 20,656.00 20,656 Australian Common 1.00 0.19% 15.736.00 Common British 15,736 1.00 1.955.00 0.02% Canadian Common 1.00 28,823.00 0.36% 28,823 Common 18 Chinese 1.00 104.0 Dutch Common 104 1.00 1,424.00 0.02% 1,424 950 1.00 950.00 0.01% Common lapanese 1.00 3,743,00 0.059 3,743 Singaporean Common 1.00 3,086.00 0.04% Swedish Common 3,086 1.00 266.00 0.00% US Citizen Common 266 TOTAL 81,217 TOTAL 81,217,00 1.00 % 8,088,304.00 TOTAL PAID-UP P

Γ	NOTE: USE ADDITIONAL SHEET IF NECESSARY
F	Common, Preferred or other classification
F	<ul> <li>Other than Directors, Officers, Shareholders owning 10% of outstanding shares.</li> </ul>

GIS\_STOCK (v.2020)

STOCK CORPORATION

NAME/CHIRDENT DESIDENTIAL ADDRESS   NATIONALITY   INC'D   BOADD   CENDED   OFFICED	DENTIFICATION NUMBER
NAME/CURRENT RESIDENTIAL ADDRESS NATIONALITY INCR BOARD GENDER HOLDER OFFICER COMM.  1. BENEDICTO C, SISON  N C Y Chairman CG/M  2. VALERIE N, PAMA  N M Y President N.A.  3. CESAR LUIS F. BATE  N I Y N M and CG/C  4. OSCAR S. REYES  N I Y N A&C/C and CG/M  5. CIELITO F. HABITO	
N   C   Y   Chairman   CG/M	
N M	-
N I Y N M and CG/C  4. OSCAR S. REYES N I Y N M and CG/C A&C/C and CG/M  5. CIELITO F. HABITO	-
N I Y N and CG/M  5. CIELITO F. HABITO	
6. MA. JEMILYN S. CAMANIA  N N N Compliance Officer, Data Protection Officer & Money Laundering Reporting Officer  Officer & Officer & Compliance Officer &	
7. SHERWIN S. SAMPANG  N N N Treasurer N.A.	
B. ANNA KATRINA C. KABIGTING- IBERO  N N N Corporate Secretary N.A.	
9. FRANCES IANNA S. CANTO  N N N Asst. Corporate Secretary	
10. nothing follows	
11.	
12.	
13.	
14.	
15.	

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.

FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.

FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.

FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.

FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER,

SECRETARY, COMPLIANCE OFFICER ANDIOR ASSOCIATED PERSON.
FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION GIS\_STOCHIC (2000) ON COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

STOCK CORPORATION

		PLEASE PRIN	T LEGIBLY =====	******	*******	*******
CORPORATE NAME:	SUN LIFE F	PROSPERITY	DOLLAR ADVANT	AGE FUND	, INC.	
TOTAL NUMBER OF STOCKHOLDERS:	1	,922	NO. OF STOCKHOLDE SHARES EACH:	RS WITH 10	0 OR MORE	1,910
TOTAL ASSETS BASED ON LATEST AUDITED FIN	ANCIAL STATE	MENTS:	USD 34,721,390			
	STO	OCKHOLDER'S	INFORMATION			
CHARLES CONTROL OF THE CONTROL OF TH		SHARES	SUBSCRIBED		AMOUNT	
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	ТҮРЕ	NUMBER	AMOUNT (USD)	% OF OWNER- SHIP	PAID (USD)	TAX IDENTIFICATION NUMBER
1 BENEDICTO C. SISON	common	1	1.00			
				0.00%	1.00	
	TOTAL	1	1.00			
2. CESAR LUIS F. BATE		1	1.00			+
Z. CESAR LUIS F. BATE	common		1.00			
				0.00%	1.00	
	TOTAL	1	1.00	Si .		
3. OSCAR S. REYES	common	1	1.00			
				0.00%	1.00	
	TOTAL	1	2 39030			
4. CIELITO F. HABITO	common	1	1.00			
				0.00%	1.00	
	TOTAL	1	1.00			
5. VALERIE N. PAMA	common	1	1.00	-		+
				8		
				0.00%	1.00	
	TOTAL	1				
6. OTHERS		8,088,299.00	8.088.299.00			
*List of Top 20 shareholders submitted				100.00%	8.088.299.00	
separately (confidential) to the SEC-CGFD or		8.088.299.00	8,088,299,00	8		
11 August 2021. 7. noting follows						
		9				
TOTAL AMOUNT OF	SUBSCRIBE	2000	8,088,304.00		8	,088,304.00
		TOTAL AMO	UNT OF PAID-UP CA	PITAL	1 2	

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

GIS\_STOCK (v.2020)

STOCK CORPORATION

		220,00	ASE PRINT LEGIBLY				
CORPORATE NAME:	SUN LIFE PROSPERIT			JND, INC.			
1. INVESTMENT OF COR FUNDS IN ANOTHER			AMOUNT (USD	) D	ATE OF BOA	RD RESOLUTION	
1.1 STOCKS			35,677,833.90			N.A.	
1.2 BONDS/COMME by Private Corpo	RCIAL PAPER (Issued prations)		949,840.71		N.A.		
1.3 LOANS/ CREDIT			N.A.	-	N.A.		
1.4 GOVERNMENT T	REASURY BILLS		N.A.			N.A.	
1.5 OTHERS	1.5 OTHERS		N.A.			N.A.	
2. INVESTMENT OF COR SECONDARY PURPOS	PORATE FUNDS IN ACTI ES (PLEASE SPECIFY:)	VITIES U	NDER ITS	DATE OF BOARD RESOLUTION	440.00	DATE OF TOCKHOLDERS RATIFICATION	
	N.A.			N.A.	133,433,631,231	N.A.	
3. TREASURY SHARES				NO. OF SHARES		AS TO THE TOTAL OF SHARES ISSUE	
				10,253,143		55.90%	
4. UNRESTRICTED/UNA				AST FISCAL YEAR	JSD 19,938,4	170	
5. DIVIDENDS DECLARE	D DURING THE IMMEDIA	ATELY PR	RECEDING YEAR: 0				
TYPE	OF DIVIDEND		AMO	UNT (USD)	D	ATE DECLARED	
5.1 CASH					N.A.		
5.2 STOCK			N.A.			N.A.	
5.3 PROPERTY		TOTAL	P	N.A.	_	N.A.	
6. ADDITIONAL SHARES	ISSUED DURING THE PI						
DATE NO. OF SHARE:					AMOUNT	IOUNT	
	EGISTRATION WITH SEC	AND OT					
NAME OF AGENCY:	SEC		BSI	,		10	
TYPE OF LICENSE/REGN.	Open End Investment Company		N.A.		N.A.		
DATE ISSUED:	13-Feb-02	13-Feb-02			N.A.		
DATE STARTED OPERATIONS:	1-Jul-02		N.A.		N.A.		
TOTAL ANNUAL CO DIRECTORS DURING T YEAR (i	HE PRECEDING FISCAL	TOTAL	NO. OF OFFICERS	TOTAL NO. OF RAI	2027/201	TAL MANPOWER COMPLEMENT	
6,3	70		6 0		0		

NOTE: USE ADDITIONAL SHEET IF NECESSARY

GIS\_STOCK (v.2020)

I, ANNA KATRINA C. KABIGTING-IBERO. Corporate Secretary of Sun Life Prosperity Dollar Advantage
Fund. Inc. declare under penalty of perjury that all matters set forth in this GIS have been made in good
faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC.

I understand that the Commission may place the corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (Section 177, RA No. 11232).

Done this date and place indicated below.

ANNA	KATRINA	4. KX	BIGTING	-IBER
WISTAN.				

PASAY CITY

1 5 MAR 2022

SUBSCRIBED AND SWORN TO before me in \_\_\_\_\_\_ on \_\_\_\_\_ by affiant who personally appeared before me and exhibited to me her Driver's License No. valid until September 1, 2022.

NOTARY PUBLIC

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Book No.

Series of 2022

ATTY JULES & MILAN

Appointment No 20-66 / Until 12-31-2022 Roll No. 45790 / IBP Lifetime No. 04397 / 7-3-03

PTR O.F. No. 8531012 / 1-4-22 / Pasay City

MCLF No. VI-0016565 / 01-14-19